

# ***The Agricultural Justice Project***



## **Social Stewardship Standards in Organic and Sustainable Agriculture**

**Standards Document  
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## **Executive Summary**

The Agricultural Justice Project bases these standards for fair trade and social justice in the food system on the Declaration of Human Rights, the conventions of the International Labor Organization and the experience of farmers, farmworkers, and other participants in the current food system in the United States.

## **Farmer Rights**

The standards outlining farmers' rights are based on the principle that all contracts between farmers and buyers will be fair and equitable. These standards ensure the following:

- Good faith negotiations on any contract with a buyer, with payments to the farmer that cover the cost of production of the farm products plus a fair return on the farmer's investment and a living wage for the farmer. Should the buyer not be able to afford to pay an adequate price, full disclosure of financial records would be required, as well as steady improvement as finances improve.
- Freedom of association and the right to collective bargaining.
- Fair conflict resolution procedure.
- Contract specifications such as recapture of capital investment, anti-discrimination clauses, prohibition of the termination of contracts without just cause, and profit-sharing incentives (if offered).

## **Farmworker and Food System Worker Rights**

The standards outlining workers' rights are based on the principle that all workers have the right to safe working conditions, just treatment, and fair compensation. These standards ensure the following:

- Adherence to international laws protecting workers, including ILO Conventions and UN Charters.
- Freedom of association and right to collective bargaining.
- Fair conflict resolution procedure.
- Living wages.
- Safe and adequate housing (when provided).
- Health and safety protections, including access to adequate medical care and a "right to know" clause regarding use of potential toxins, with the expectation that the least toxic alternative is always used.

## **Buyer Rights**

The standards outlining buyers' rights are based on the principle that all contracts between farmers and buyers will be fair and equitable. The standards ensure the following:

- Fairly negotiated and equitable contracts with farmers.
- Buyers' right to transparency of farmers' costs of production for the purposes of determining fair prices.
- Fair conflict resolution procedure.
- Buyers' right to require up-to-date farmer certification of all applicable products.

### **Farm Intern/Apprentice Rights**

In recognition of the vital role that interns/apprentices have played in organic and sustainable agriculture and the commitment of many farmers to training the next generation, the standards include a section devoted to interns. The standards are based on the fact that interns are inherently distinct from wage laborers, and therefore have distinct rights and responsibilities.

These standards ensure the following:

- A clear, mutually agreed-upon, written contract laying out the expectations and assuring the intern/apprentice that the farmer will provide the desired instruction.
- A fair stipend to cover living expenses.
- All other rights accorded to farm employees.

### **Indigenous Rights**

Most of the world's farmers are indigenous peoples. An ecolabel that makes claims regarding social justice in agriculture needs to address their unique concerns. This section is under development as AJP actively seeks input from representatives of indigenous communities.

## **Definitions**

**Agricultural mediation** - Mediation is a tool for farmers, farm employees and others in the food system to talk about difficult subjects and to reach fair and workable solutions that everyone involved can live with without calling upon outside authorities. Mediation can help people solve problems, avoid escalating conflict, and reduce stress. The people who are involved directly make decisions and solve problems for themselves with the help of a neutral mediator who does not decide on outcomes or give legal advice. About 30 states in the United States have Centers for Dispute Settlement.

**Bad faith** - Intentional dishonest act by not fulfilling legal or contractual obligations, misleading another, entering into an agreement without the intention or means to fulfill it, or violating basic standards of honesty in dealing with others.<sup>1</sup>

**Black listing** - When the employer advocates or suggests that other employers should not hire an individual.

**Buyer** - The broker, wholesaler, integrator, retailer, or processor to which the farmer sells. Buyers are both the closest links to the farmer, as well as the buyers who take custody of the product throughout the food chain.

**Child labor** - In these standards “minor” and “child” are used as synonyms. AJP standards prohibit full time employment or any employment that interferes with schooling in accordance with national legislation, or the culturally appropriate educational needs of the children involved. AJP refers to the intent of children working on the farm outlined by the International Labour Organization (ILO website 2010): “Not all work that children undertake in agriculture is bad for them or would qualify as work to be eliminated under the ILO Minimum Age Convention No. 138 or the Worst Forms of Child Labor Convention No. 182. Age-appropriate tasks that are of lower risk and do not interfere with a child’s schooling and leisure time, can be a normal part of growing up in a rural environment. Indeed, many types of work experience for children can be positive, providing them with practical and social skills for work as adults.” AJP defines children as under 18 years of age. In countries where young people can legally leave school at the age of 16, these standards would allow the full-time employment of 16 – 18 year olds if it can be documented that the children left school voluntarily.

**Collective bargaining** - The performance of the mutual obligation of the employer and the employees or representative of the employees or the buyer and farmer or representative of farmers to meet at reasonable times and confer in good faith with respect to conditions of either wages, hours, and other terms and conditions of employment, or the negotiation of an agreement or any question arising thereunder, and the execution of a written contract incorporating any agreement reached if requested by either party.

**Collective mark** - A collective mark is an emblem adopted by a collective organization for use by its members in selling their individual goods or services and distinguishing their goods or services from those of non-members.

**Conflict resolution procedure** - A procedure outlined by the Agricultural Justice Project that includes a series of steps designed to resolve conflicts, disputes, or disagreements.

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<sup>1</sup> Adapted from *The Real Life Dictionary of the Law*, Gerald and Kathleen Hill, Publisher General Publishing Group, 1997

**Contract** - Refers very broadly to (a) both verbal and written terms and conditions of employment between an employer and an employee and (b) all written and verbal marketing agreements. Contracts and agreements are the same thing. Personnel policy manuals are also considered contracts under these standards, as long as this document does not contain an at-will employer clause or other disclaimer stating that the terms of employment and company policies are at the sole discretion of the employer.

**Contractor** - One who works based on contract, not as an employee.

**Collectors** - the individuals from the indigenous community who do the physical work of selecting and collecting the natural resources.

**Democratically chosen** - This phrase is used throughout this document in referring to the right (not requirement) of employees to choose a representative to engage in dialogue and/or bargaining with the employer over terms and conditions of employment, as well as the right (not requirement) of farmers to choose a representative to engage in dialogue and/or negotiating with the buyer over terms and conditions of their contract or agreement. Management / Buyer interference or intimidation which would violate this standard includes, but is not limited to, suggesting in any way that wages, benefits, working conditions, housing conditions, or terms of a contract or agreement are in any direct or indirect way contingent on workers' or farmers' decisions with respect to collective representation; generalized statements that are anti-union, anti-organizing, or anti-collective bargaining in character.

**Eco-label** - Eco labels act as seals of approval and clearly identify products that have been produced according to specific guidelines. Eco labels inform consumers about production practices and social issues in addition to product attributes.

**Employee** - A person hired to work in a business or on a farm including migrant, temporary, seasonal, subcontracted and permanent workers. Not limited to field workers, but also includes administrative personnel. Worker and employee are used interchangeably in this document.

**Employer** - In the context of this document, the farmer who hires the farm employee, or the food business that hires workers.

**Farm worker** – This term refers to an employee of a farmer, and is used within this document as a synonym for employee (see employee).

**Food sovereignty** - The right of peoples, communities, and countries to define their own agricultural, labor, fishing, food and land policies which are ecologically, socially, economically and culturally appropriate to their unique circumstances. It includes the true right to food and to produce food, which means that all people have the right to safe, nutritious and culturally appropriate food and to food-producing resources and the ability to sustain themselves and their societies (La Via Campesina, 1996)

**Food business worker** - This term refers to an employee of any food business and is used in this document as a synonym for employee (see employee).

**Good faith** - Honest intent to act without taking an unfair advantage over another person or to fulfill a promise to act, even when some legal technicality is not fulfilled.<sup>2</sup>

**Grower group** - A group of producers with minimal hired labor and similar production and farming systems who live and farm within a prescribed geographical area and market cooperatively.

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<sup>2</sup> Adapted from *The Real Life Dictionary of the Law*, Gerald and Kathleen Hill, Publisher General Publishing Group, 1997.

**Homeworking** - production work performed in their own homes by workers who are usually paid by the piece with no benefits. This should be distinguished from the work at home of salaried employees or independent contractors who have home offices.

**Indigenous peoples** - Peoples native to a particular region, autochthonous.

**Intern / apprentice** - A worker or laborer on a farm whose *primary* motivation in working is educational, an on-farm training in the craft of farming, and not economic. An intern or apprentice views his/her compensation for labor to be *primarily* experiential learning, and practical and theoretical knowledge of farming, rather than wages. As compensation to the farmer for providing a well-crafted and thorough program of training, interns often agree to accept minimum wages.

**Labor agreement** - Refers to any verbal or written agreement or outline of the terms of work and is considered synonymous with contract in this document.

**Labor contractor (including but not limited to farm labor contractors)** - Any person other than the employer, or an employee of an employer, who, for any money or other valuable consideration paid or promised to be paid, performs any recruiting, soliciting, hiring, employing, furnishing, or transporting any worker..<sup>3</sup>

**Mark** - The name and symbol that indicates certified origin, mode of production, quality, and compliance with our standards when it appears on products in the marketplace. The use of the mark is granted to certified entities by contractual arrangement only.

**Organic** - Organic agriculture is a holistic production management system which promotes and enhances agro-ecosystem health, including biodiversity, biological cycles, fair social relations, and soil biological activity. It emphasizes the use of management practices in preference to the use of off-farm inputs, taking into account that regional conditions require locally adapted systems. This is accomplished by using, where possible, agronomic, biological, and mechanical methods, as opposed to using synthetic materials, to fulfill any specific function within the system.

**Profit-sharing (also profit sharing)** - A system in which the people who work for a company receive a direct share of the profits

**Worker organization** – An organization, association, or trade union that is membership-based and is comprised of and directed by workers and/or the worker community (as distinguished from an advocacy organization that may speak or work on workers' behalf but not directly represent them).

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<sup>3</sup> Revised from 29 U.S.C. §1802, Migrant and Seasonal Agricultural Workers Protection Act of 1983.

## **Background and Underlying Assumptions**

### General Principles

For the authors, as for many organic farmers around the world and the hundreds of organizations which have signed on to the IFOAM principles, social justice and social rights are integral aspects of organic agriculture, processing, distribution, and retailing.

These principles of social justice are essential:

- a. To allow everyone involved in organic and sustainable production and processing a quality of life that meets their basic needs and allows an adequate return and satisfaction from their work, including a safe working environment.
- b. To progress toward an entire production, processing, and distribution chain that is both socially just and ecologically responsible.<sup>4</sup>

### IFOAM's Principle of Fairness

“Organic agriculture should build on relationships that ensure fairness with regard to the common environment and life opportunities. Fairness is characterized by equity, respect, justice and stewardship of the shared world, both among people and in their relations to other living beings.

This principle emphasizes that those involved in organic agriculture should conduct human relationships in a manner that ensures fairness at all levels and to all parties – farmers, workers, processors, distributors, traders and consumers. Organic agriculture should provide everyone involved with a good quality of life, and contribute to food sovereignty and reduction of poverty. It aims to produce a sufficient supply of good quality food and other products. This principle insists that animals should be provided with the conditions and opportunities of life that accord with their physiology, natural behavior and well-being.

Natural and environmental resources that are used for production and consumption should be managed in a way that is socially and ecologically just and should be held in trust for future generations. Fairness requires systems of production, distribution and trade that are open and equitable and account for real environmental and social costs.” (IFOAM Principles 2005)

### Underlying Assumptions

These standards are intended to guarantee just working and living conditions for all agricultural and food system workers, and just financial returns, equity, and fair working conditions for family-scale farmers, their families, and other food business employers. All parties involved are encouraged and expected to voluntarily go beyond the minimum, whenever possible.

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<sup>4</sup> Taken from IFOAM Basic Standards list of Principle Aims. AJP has also drafted a section for indigenous wildcrafters who want to make a fair trade claim; however AJP needs input from stakeholders in that group in order to finalize that section and include it as part of the standards in this document. Please see [www.agriculturaljusticeproject.org](http://www.agriculturaljusticeproject.org) for the draft indigenous wildcrafting standards for comment.

Consistent with the vision outlined later in this document, the goal is to build and maintain a mutually respectful and supportive relationship amongst the various parties in the food system (e.g., buyers, farmers, farm employees, cooperative employees, restaurant employees, and other food business owners and employees), rather than an antagonistic one.

We envision a symbiotic relationship, in which despite occasional differences and disputes, the farmer, farm employee, and other food business employees and managers, buyers of farm products, and citizens who purchase the final product are able to live full and rewarding lives. In this scenario, the farmer can count on a fair agreement/contract with at least minimum fair prices and a well-trained and consistent work force. The workers can count on stable, dignified work and just treatment. The buyer can rely on getting high quality food products.

Although these standards are applicable to a range of scale operations they are primarily intended as a tool for small and medium scale family farms and food businesses to help these groups add value and further differentiate their market share. The standards include sections that can be used by all types of food businesses including cooperative stores, restaurants, or even certifiers.

These standards have been field-tested through a number of years of pilot certifications on small and medium-sized farms and in food system businesses, coops, and organic certifiers in the U.S. It is recognized that the local, regional, national and/or international context of food production and distribution may require adjustments to these standards in order to maintain the protection, integrity and quality of life of these stakeholders and there is a formal standards revision process every five years.

These standards are intended to be consistent with and to build on IFOAM principles on Social Justice, and the work of the Food and Agriculture Organization of the United Nations (FAO), the International Commitment of Phylogenetic Resources, and the International Labor Organization (ILO).<sup>5</sup> The standards setting process is intended to be consistent with the ISEAL Code of Good Practice for Setting Social and Environmental Standards.<sup>6</sup>

A just workplace depends upon the equal involvement of employers and employees, directly or through democratically chosen representatives. All efforts will be made to include participation of organizations representing farm and other food system workers and farmers, rather than merely public and private agencies providing services to these groups. In the case of workers, such representatives could be from unions chosen by workers on the farms, plants or stores to be certified or other local worker organizations. In the case of farmers, such representatives could be from associations chosen by farmers to be certified, or from other local farmer or indigenous people's organizations.

Participants in this program will be committed to continual improvement. For example, in relation to workers, employers under this program are committed to continual improvement in matters relating to employee wages, benefits, housing, and working conditions. In relation to farmers, buyers under this program are committed to continual improvement in matters relating to pricing of farm products, contracts, benefits and equity sharing, and all are committed to

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<sup>5</sup> This includes but is not limited to ILO Conventions 87, 95, 98, 105, 110, and 129.

<sup>6</sup> ISEAL Code of Good Practice for Standards Setting, Version 5.01, April 2010, [www.isealliance.org](http://www.isealliance.org)

improving community relations. The farmer is committed continually to improve product quality, production efficiency, ecological balance, and environmental stewardship.

Employers under this program will agree to comply with all relevant federal, state, and local laws covering working conditions, health and safety, and terms of employment.

Recognizing that laws protecting farmers, agricultural workers, and other food system workers are in general weak, and that enforcement is often lax, the lack of record of violations will not be considered a positive indication of the working or equity conditions.

We assume that buyers have rights that need to be protected, and we further assume that all the parties using the claim of fairness of these standards need to be certified or engaged in some other form of third-party verification system. We intend these standards to be used for a certification process as an additional mark with organic certification. We also see a place for these standards as a guide for family-scale farms that sell direct to local markets, have a small number of employees and are not under market pressure to obtain organic certification. For these direct sales farms we offer a pledge and collective mark to these standards that will be verified, not by a third party certification program but if the farm hires workers or has interns the farm must arrange to be inspected by in order of preference: a regional farm workers association; a local congregation-based social justice committee (church, synagogue, mosque, etc.), or a local community-based organization with a mission and track record of promoting justice and fairness; or if the farm trains interns, a committee of interns from regional CRAFT. (Full Pledge process outlined in separate document.)

## **Applying the Standards**

### What Certification Means

Standards are outlined for both labor and trade practices of the operation including: working conditions, pay and benefits, participation and training for workers and interns, negotiations, pricing, and contracting between buyers and sellers. All entities are welcomed to apply. Please see the AJP Policy Manual for our requirements regarding partial chain labeling and multi-ingredient products.

### AJP and Organic Certification

AJP standards can be applied to a continuum of operations, however specific standards compliance for health/safety and toxics exposure requirements regarding farmers, workers, children and interns will be different depending on the type of operation and the materials used. In general AJP standards are designed to ensure a movement away from use of chemical industrial agriculture toward more bio-intensive and organic agriculture.

AJP reserves the rights to not approve any entities, which do not meet our standards.

### Meeting the Standards

It has been critical to the Agricultural Justice Project to develop a certification system that recognizes continual progress over time. Compliance with the standards will result in certification and the right of the certified entity to a market claim so long as it complies with AJP's policy on labeling and partial chain and multi-ingredient products.

*Requirements for Certification:*

- a. Compliance with all required standards.
- b. Correction of minor non-compliances according to certifier's instructions, no major non-compliances found.
- c. Payment of all fees.
- d. Certification review by an AJP accredited certifier.
- e. Signature and compliance with AJP licensing and seal use contract.

How Standards Will be Evaluated

An operation will either be found to be in compliance with a standard, or will be issued a **minor** or **major non-compliance**. Minor and major non-compliance designations are outlined by AJP. A limited number of minor non-compliances does not prevent certification, but must be corrected within the timeframe outlined by the entity's certifier for the entity to remain certified. Any major non-compliance means the entity cannot qualify for certification until the major non-compliance is corrected.

Please see the AJP Policy Manual for a list of Major and Minor non-compliances. Compliance with the standards will be determined by the AJP accredited certifier based on inspections.

Continual Improvement

It is expected that AJP certified entities continue to improve from year to year (i.e., they do not stagnate once they receive certification). Certified entities may select from one of the suggested/encouraged standards outlined by AJP in each standards section (indicated by italics and the terminology "are encouraged" or "may"), or develop a specific practice that aligns with the principles that is not outlined in the standards. The entity must document the area of specific selected improvement and progress towards this annually.

<b>Sections of the Standards that Apply</b>	<b>Type of Operation/Applicant</b>				
	<b>Farms</b>	<b>Food Businesses (e.g., grocery stores, distributors, processors, coops, restaurants)</b>		<b>Certifiers</b>	<b>Grower Groups</b>
		<b>As fair employers</b>	<b>As fair traders</b>		
Section 1.0			X		X
Section 2.0	X				X
Section 3.0	X				X
Section 4.0		X		X	X
Section 5.0					X
Section 6.0	X (when standards finalized)		X (when standards finalized)		X (when standards finalized)

## **Agricultural Justice Project Standards**

### **1.0. Food Business Responsibilities to Farmers<sup>7</sup>**

#### **Introduction**

This section of the standards establishes the intrinsic rights of farmers, regardless of the kind of buying arrangement in which they may be engaged. It covers both formal contracts and less formal marketing arrangements. This section applies to both individual farmers and farmer groups, except where specifically stated otherwise. For the purposes of this document we are broadly interpreting the definition of “contract.” The concepts below may be implemented with great benefit even in situations in which no formal agreement or written contract is established.

#### **1.1. Contracts and Negotiating Process**

##### ***Principle***

Contracts between farmers and buyers will be fair, transparent, and equitable.

##### ***Standards***

##### **1.1.1. Freedom of association and collective bargaining**

- a. All farmers have the right to freedom of association and to organize and engage in collective bargaining, free from retaliation of any kind by the buyer or his/her agents.
- b. Buyers are required to comply with all regional and national laws regarding freedom of association and rights to collective bargaining.
- c. All farmers with whom buyer does business must not be barred by the buyer or the buyer’s agent from access to representatives of organizations assisting farmers in exercising these rights.
- d. If farmers so choose, contracts between buyers and farmers will be negotiated using a collective bargaining process.

##### **1.1.2. Negotiating process**

- a. If a farmer chooses to select a representative, the buyer will recognize and negotiate with representatives chosen by the farmer or democratically chosen by the farmer’s association in the case of collective bargaining.
- b. Farmers shall have the right to choose their representatives in a process free of buyer interference or intimidation.
- c. If the farmers are organized as a group, an association, or cooperative farmer or farmer group, the buyer will engage in collective bargaining to determine equity sharing, contracts, and other benefits and policies if the farmer or farmer group, association or cooperative choose to use collective bargaining.

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<sup>7</sup> These guidelines were developed from the work of RAFI-USA, CPGA Contract Producers Bill of Rights, CATA *Comité de Apoyo a los Trabajadores Agrícolas* social justice guidelines for farm employees, FLO for producers’ rights language, work of AOPEB Association of Organizations of Ecological Producers of Bolivia and others.

- d. Binding arbitration clauses are prohibited between individual certified farmers and buyers, since they eliminate a farmer's access to the courts even when a buyer may have violated state or federal law.

**1.1.3. Conflict resolution procedure**

- a. Formal contracts between certified farmers and buyers must contain a fair conflict resolution process through which farmers and buyers can submit complaints and appeals to address concerns about unfair contracts and other equity/price-setting practices.
- b. In the absence of a formal contract, which provides for fair Conflict Resolution Procedures, mechanisms must be established to provide an equitable procedure by which certified farmers can submit complaints and appeals to address concerns about unfair contracts and other equity/price-setting practices.
- c. Certified farmers will be protected from buyer retaliation for submitting such complaints.
- d. Buyers agree to follow the AJP conflict resolution procedures (outlined in the AJP policy manual) in the case that either party is not satisfied with the outcome of the conflict resolution process outlined in the farmer buyer contract.

**1.1.4. Long-term relationships**

- a. Buyers and farmers will work in good faith to establish long-term and stable relationships which provide mutual respect for the needs and rights of both parties.

**1.1.5. Transparency and contracts**

- a. Written contracts or informal agreements will include terms for: price setting, quality, quantity, shipping schedule, equity-sharing, other benefits, standards, conflict resolution, and any pre-finance/credit arrangements and the right of the farmer to be first in line to recover all payments due from the buyer should the buyer go out of business. Both parties shall agree to the terms of the contract before the harvest season or delivery dates have started.

**1.1.6. "Right to Know" and "Good Faith" clauses for farmer contracts and/or marketing agreements**

- a. Buyers must provide, if requested by farmers, a copy of the contract defining the farmer's roles, payments, benefits and equity-sharing arrangements. Contracts must be written and/or explained in the farmer's native language or in another language accessible to the farmer. The information must be easy to read and understand and must clearly disclose all major material risks to the farmer.
- b. The buyer must provide full transparency of their costs and pricing formulas to certified farmers if farmer(s) feel price is not fair.
- c. The buyer must negotiate the contract in good faith by providing complete, accurate, and honest information to the farmer.
- d. Certified farmers retain the right to seek recovery of damages if they are harmed by misleading or incomplete information.
- e. Any changes in the contracts or agreements between buyer and farmers must be negotiated in good faith.
- f. Farmers must have free access, upon request, to their buyer's contract files on them and be provided a copy thereof upon their request.

- g. All attempts to keep all farmers from discussing the contracts with others are forbidden.
- h. If the buyer goes out of business or files for bankruptcy, the certified farmer(s) is(are) first in line for payments from the company assets. The buyer cannot waive this right. The contract must contain a provision that guarantees the right to recover money from a buyer.
- i. Both the buyer and the certified farmer have up to three business days after signing the contract to change their minds and cancel the agreement without penalty.
- j. The buyer will make transparent procedures for grading and justifications for price differentials based on quality.
- k. The buyer will give the farmer information on the total quantity delivered and the total money paid to the farmer.

**1.1.7. Recapture of capital investment**

- a. When fulfillment of a contract requires that a certified farmer or group of farmers make capital investments and the buyer terminates the contract, if the certified farmer is not guilty of breach of contract with the buyer, the certified farmer can collect damages related to any significant capital investment that was required as part of the contract.
- b. Unless alternative arrangements exist, if a buyer cancels a production contract before a certified farmer's mortgage to engage in that contract is paid in full, the buyer must reimburse the certified farmer for the remainder of his investment. This includes any buyer-required capital improvements or upgrades since the initial mortgage was obtained.

**1.1.8. Anti-discrimination clause**

- a. The buyer shall not discriminate against any farmer, in setting agreements, contracts, pricing, benefits, or any other capacity, on the basis of race, creed, color, national or ethnic origin, nationality, gender, gender identity, age, handicap or disability, union or political activity, immigration or citizenship status, marital status, or sexual orientation.<sup>8</sup>

**1.1.9. Human relations**

- a. All farmers must be treated with dignity and respect, without physical, psychological, verbal, or sexual harassment or other abuse.

**1.1.10. Direct farmer-buyer contracts**

- a. Certified buyers will contract or establish marketing arrangements directly with farmers or farmer associations, whenever possible. Intermediaries such as brokers/subcontractors will be avoided except where explicitly agreed upon and requested by the farmer. In the case of a documented need for the use of such an intermediary, the buyer assumes full legal responsibility that the provisions in these standards are fully complied with and verified.
- b. Buyers will afford the same rights to certified farmers using indirect contracts as certified farmers who contract, negotiate and set agreements directly with the buyer.

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<sup>8</sup> This standard does not restrict an employer from complying with legally required procedures such as in the USA I-9 verification procedures.

**1.1.11. Termination of contracts**

- a. No farmer/buyer agreements or contracts will be terminated without just cause.
- b. All farmers have the right, if they so request, to have a representative of their choosing present during any agreement or contract interview or renewal.
- c. Any certified farmer who is found through the existing Conflict Resolution Procedure to have had his/her agreement or contract unjustly terminated must be reinstated by the buyer and must be compensated for any loss of earnings during the period of such discharge action, as determined by through the conflict resolution procedure.

**1.2. Equity, Price Setting, and Other Benefits**

***Principles***

Farmers should receive a fair price. There should be transparency in the price setting process.

Buyers will be encouraged to increase prices to the farmer through measures such as sharing profits with farmers, and establishing long term relationships based on agreed upon price fairness improvements targets with timelines.

***Standards***

**1.2.1. Fair pricing**

- a. Certified farmers will receive a fair price, which covers the cost of production plus a fair margin for profit and investment and the ability to pay fair wages and other benefits, such as health insurance, for themselves, their families and their workers.
- b. The price paid to the certified farmer will be based on:
  - Documented farmer and buyer costs.
  - Fair and open negotiations that set a fair price that is acceptable to both parties.
  - Where appropriate, the world price or regional price for that specific type of product, whichever is higher.
  - The product's specific market qualities; including but not limited to – additional identity preserved claims of organic, geographic indicators and other verified sustainability claims.
- c. The pitting of one farmer (whether AJP certified or not) against another to drive down prices and the use of a discriminatory ranking system for determining payments is prohibited. Any such retaliation or the spreading of false or misleading information by the buyer or the buyer's agents shall not be allowed.
- d. *Buyers are encouraged to continuously make improvements in sharing the risk with the farmer. This may include paying in advance for an agreed upon quantity of product of agreed upon quality requirements, "locking-in" a fair price for a set portion of farmers' products to be delivered during set time period, or other forms of equity sharing as mutually agreed upon by both parties.*
- e. *Buyers are encouraged to adopt all the practices outlined in these standards with all farmers from whom they buy product.*

**1.2.2. Minimum price fairness protection**

- a. To protect all farmers in markets experiencing extreme price volatility, minimum fair prices will be negotiated by the farmer and the buyer that overrule market prices when market prices fall below the farmer's costs of production.
- b. Minimum fair prices will not preclude additional price differentials based on quality, where such quality is documented in the contract provisions or where the market for such products recognizes such additional qualities.
- c. The farmer and buyer will negotiate this price premium protection based on:
  - i. The current world or the regional price, where appropriate and whichever is higher, for that commodity.
  - ii. Documented farmer and buyers costs.
- d. Minimum fair pricing protection will only be triggered when the documented market prices fall below the farmers cost of production and where the participating farmers specifically seek this protection.

**1.2.3. Credit**

- a. *In countries where farmer access to fair credit is not widely available, buyers may offer credit to all such farmers for up to 60% of their contract in the form of pre-financing or other mechanisms in favor of the producer, as long as these arrangements are deemed mutually beneficial and are not administered in a scale bias manner. If credit is offered:*
  - i. Disbursement of credit shall take place upon signing of the letter of intent, the agreement or the contract, or at any date after that which meets the needs of the farmer.
  - ii. All credit instruments, direct and indirect, are allowed as long as the resources are made available to the producers in an effective, reasonably-priced and timely manner. Interest rates and all other terms shall be clearly established in the agreement or contract.
  - iii. Other benefits such as profit sharing, company stock options, pooling of insurance, and other creative mechanisms for sharing equity are encouraged as long as they are mutually beneficial.
  - iv. The financing and/or subsidizing of organic inputs should also be considered as an alternative to providing cash credit.

**1.2.4. Economic realities**

It is recognized that in the current economy, buyers will not always be able to pay a fair price or offer all of the credit opportunities mentioned above. In such circumstances when a fair price and compliance with all of the outlined credit opportunities mentioned above are not feasible the following standards apply.

**IF BUYERS ARE UNABLE TO PAY A FAIR PRICE:**

- a. The buyer's inability to do so must be fully documented and justified, including full disclosure of financial records pertaining to most recent profit and loss statement.

**IF BUYERS ARE UNABLE TO PAY A FAIR PRICE:**

- b. Actual prices will be determined through a negotiation process between the buyer and certified farmer and/or the farmers' democratically elected representative.

**IF BUYERS ARE UNABLE TO PAY A FAIR PRICE:**

- c. A plan shall be implemented to reach the goal of a fair price with a timeline for improvement and progress towards that goal will be measured.

**1.2.5. Profit sharing**

- a. Prices to farmers shall be increased with increased profitability for the buyer of the farmers' products.

**1.2.6 Buyer responsibility to grower groups**

- a. In cases where growers request it, buyers are encouraged to help support and develop grower groups with democratic Internal Control Systems.

**1.2.7. Payments, penalties, and deductions**

- a. Provisions such as excessive docking for low quality and other unwarranted deductions are prohibited for all farmers.
- b. Withholdings of payments is prohibited. Payments to farmers must meet the terms of the letter of intent, the agreement, or the contract. The buyer must pay the farmer fully and on time as agreed in purchase agreements.
- c. Late payments to farmers are subject to all local, national, and international laws.

**1.3. Enforcement**

**1.3.1. Responsibility for payment of court costs**

- a. Any certified buyer or certified farmer found to be in violation of the law will be responsible for court costs and attorney fees. [NOTE: This provision is essential to helping farmers with a valid complaint engage a lawyer, while also protecting the buyer from unwarranted claims.]
- b. *Buyers are encouraged to develop mechanisms by which farmers of limited resources are able to afford the costs of contract dispute resolution.*<sup>9</sup>

**1.4. Fair Trade Relationships**

**1.4.1. Fair trade relationship**

- a. Buyer will have an overall fair trade sourcing policy that favors family-scale, local producers.
- b. Buyer will allow verification of overall buying policies and practices with all farmers.
- c. *The buyer may support the certified farmers by providing information, help with marketing, attending trade fairs, providing advanced training.*
- d. *Buyers are encouraged to develop a plan to share the risk with the farms that supply them.*
- e. *Buyers may pay for the certification fee for farmers. If the buyer pays for the AJP certificate of the farm, the farm is permitted to sell product that the AJP buyer does not buy to other markets: If the farmer chooses to sell farm products to other buyers the*

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<sup>9</sup> At least 30 states in the US have Centers for Dispute Settlement that offer low cost or even free mediation services for agricultural conflict resolution.

*farmer and buyer must negotiate a fair arrangement regarding payment or reimbursement of the costs of certification.*

**1.4.2. Sourcing**

- a. *Buyers may increase the percentage of their purchases from certified farms.*

**1.4.3. Labor contractors**

- a. *In regions where the use of labor contractors is prevalent, buyers are encouraged to work with farmers and farm employee associations and other community partners to develop AJP compliant farm labor services to meet their specific market needs.*

**1.5. Continual Improvement**

- a. Food businesses are required to continually improve their negotiating and contracting practices as they relate to the principles of the AJP standards. Food businesses must select a point to work on and make progress on continuing improvement on an annual basis. Food businesses may select from one of the suggested/encouraged standards outlined by AJP in this section or develop a specific practice that aligns with the principles that is not outlined in the standards in this section.
- b. The food business must document the area of specific selected improvement and progress towards this annually. If progress was not achieved the business must submit:
  - The efforts they engaged in during the year,
  - The reasons it did not work, and
  - The revised plan for improvement for the next year.

**1.6. Community Relations**

- a. *AJP certified food businesses are encouraged to cooperate with neighbors and to invest in their community for more sustainable and just community relations. Suggestions include (but are not limited to):*
  - i. *Developing a policy of hiring and training local people*
  - ii. *Purchasing from local and regional suppliers of products and services*
  - iii. *Providing resources to promote fair labor practices and living wages throughout the community.*
  - iv. *Supporting local schools, health and social services, cultural events and language classes and translation services.*

## **2.0. Farmer Responsibilities to Buyers**

### ***Principle***

Contracts between buyers and farmers must be fair and equitable. There will be steady improvement in the terms of these contracts.

### ***Standards***

#### **2.1. Certification**

- a. Buyers have the right to require up-to-date farmer certification of all applicable products.

#### **2.2. Transparency**

- a. AJP certified buyers have the right to transparency of the farmers' costs of production for the purposes of determining fair prices for farmers.
- b. AJP certified farmers make the commitment to continually improve their operations, the quality of their products and their skills in calculating production costs.

#### **2.3. Conflict Resolution Procedures**

- a. Formal contracts between farmers and buyers must contain a fair conflict resolution process through which buyers and farmers can submit complaints and appeals to address concerns about unfair contracts and other equity/price-setting practices.
- b. In the absence of a formal contract, which provides for fair Conflict Resolution Procedures, mechanisms must be established to provide an equitable procedure by which farmers and buyers can submit complaints and appeals to address concerns about unfair contracts and other equity/price-setting practices.
- c. Certified businesses will be protected from farmer retaliation for submitting such complaints.
- d. Farmers will follow the AJP conflict resolution procedures (outlined in the AJP policy manual) in the case that either party is not satisfied with the outcome of the conflict resolution process outlined in the farmer buyer contract.

#### **2.4. Anti-Discrimination Clause**

- a. The farmer will not discriminate against any buyer, in setting agreements, contracts, pricing, benefits, or any other capacity, on the basis of race, creed, color, national or ethnic origin, nationality, gender, gender identity, age, handicap or disability, union or political activity, immigration or citizenship status, marital status, or sexual orientation.<sup>10</sup>

#### **2.5. Long-Term Relationships**

- a. Buyers and farmers will work in good faith to establish long-term and stable relationships which provide mutual respect for the needs and rights of both parties.

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<sup>10</sup> This standard does not restrict an employer from complying with legally required procedures such as in the USA I-9 verification procedures.

**2.6. Contracts**

- a. Written contracts or informal agreements will include terms for: price setting, quality, quantity, shipping schedule, equity-sharing, other benefits, standards, conflict resolution, and any pre-finance/credit arrangements and the right of the farmer to be first in line to recover all payments due from the buyer should the buyer go out of business. Both parties shall agree to the terms of the contract before the harvest season or delivery dates have started.

**2.7. Right to Know**

- a. Any changes in the contracts or agreements must be negotiated.
- b. Both the buyer and the farmer have up to three business days after signing the contract to change their minds and cancel the agreement without penalty.
- c. No farmer/buyer agreements or contracts will be terminated without just cause.

**2.8. Fair Trade Relationship**

- a. If the buyer pays for and owns the AJP certificate of the farm, the farm is permitted to sell product that the certificate owner does not buy to other markets.
- b. If the farmer chooses to sell farm products to other buyers the farmer and buyer must negotiate a fair arrangement regarding payment or reimbursement of the costs of certification.

**2.9. Responsibility for Payment of Court Costs**

- a. Any certified buyer or certified farmer found to be in violation of the law will be responsible for court costs and attorney fees. [NOTE: This provision is essential to helping farmers with a valid complaint engage a lawyer, while also protecting the buyer from unwarranted claims.

**2.10. Labor Contractors**

- a. *In regions where the use of labor contractors is prevalent, farmers are encouraged to work with buyers and farm employee associations and other community partners to develop AJP compliant farm labor services to meet their needs.*

**2.11. Continual Improvement**

- a. AJP certified farms are required to continually improve their negotiating and contracting practices as they relate to the principles of the AJP standards. Farmers must select a point to work on and make progress on continuing improvement on an annual basis. Farmers may select from one of the suggested/encouraged standards outlined by AJP in this section or develop a specific practice that aligns with the principles that is not outlined in the standards in this section.
- b. The farmer must document the area of specific selected improvement and progress towards this annually. If progress was not achieved the business must submit:
  - The efforts they engaged in during the year,
  - The reasons it did not work, and
  - The revised plan for improvement for the next year.

### 3.0. Farmer Responsibilities to Farm Employees and Interns

Employees of food businesses other than farms are covered under section 4.0.

#### *Principle*

All workers have the right to safe working conditions, just treatment, and fair compensation.

#### *Standards*

### 3.1. Labor Rights

#### 3.1.1. Freedom of association

- a. All workers have the rights to freedom of association, to organize, and to bargain collectively, free from retaliation of any kind by the employer or his/her agents. This right must be explicitly included in the employee contract and policies.
- b. Workers with claims of employer retaliation for the exercise of these rights shall have access to an efficient and fair conflict resolution procedure to resolve the dispute in question.
- c. Access must not be denied to representatives of labor organizations assisting workers in exercising these rights, so long as such visits are during non-work hours.

#### 3.1.2. Negotiation process

- a. The employer will recognize and negotiate in good faith the terms of employment with (a) any employee, in the case of a single hired worker, (b) any group of employees, in the case of more than one hired worker, who choose to bargain collectively or (c) representatives democratically chosen by employees, which could include union representation, free from employer or supervisor interference or intimidation. All references to negotiating and negotiating rights contained in these standards shall be interpreted to apply to one of the three above categories, as appropriate in each particular case.
- b. Contracts between employer and employee will contain requirements for all disputes to be handled in a speedy fashion with imposition of penalties for actions conducted without “good faith.”
- c. If either party is found to be negotiating in bad faith, the farmer will allow access to the conflict resolution procedure outlined by AJP.
- d. *Employers and workers may develop a 5-year plan (with 1 year goals by which progress can be measured) for improving the fairness and equity of the operation.*

#### 3.1.3. Conflict resolution procedure

- a. The employer must have in place an internal conflict resolution procedure available to all workers. This procedure must include a multi-step process to use in cases when conflicts cannot be resolved in the first attempt. Workers who have complaints against their employer related to workplace practices including employer retaliation for workers’ submission of complaints, and who are not satisfied with the result of the internal conflict resolution procedure (which may include an option for seeking assistance from a dispute settlement center), will be able to present their case through the AJP Conflict Resolution

Procedure. Workers must be informed of this process and how to access it as part of their employee training.

**3.1.4. Transparency and full disclosure**

- a. All employees will receive a written contract and/or a written personnel policy manual containing the terms and conditions of employment. Employers will provide workers with a copy of the contract and/or manual defining working conditions and the disciplinary procedures that are followed in the workplace. Contracts must be written in the worker's native language or in another language accessible to the worker.
- b. Contracts must include: rights and responsibilities, wages and method of payment, location and type of work (job description), hours of work and overtime requirements and rates, access to trade unions, complaints procedure, conflict resolution procedure as outlined above in 3.1.3, health and safety procedures, disciplinary procedure, holiday pay, sick pay or sickness benefit or leave, compensation for injury (e.g. worker's compensation), worker's right to terminate the employment, worker's right to recover wages in cases of farm bankruptcy and the right to make public the nature of any dispute if they so choose with the exception of disclosure of confidential or proprietary information, and layoff and recall policies and criteria, and other benefits such as pensions, maternity/paternity leave,.
- c. Any subsequent changes in this contract will be negotiated with employees or with their representatives, democratically chosen, free from employer or supervisor interference or intimidation.
- d. Employers will maintain adequate records in employee files including wages and other remuneration, performance reviews, and any disciplinary actions taken. This applies to seasonal workers as well and in such cases file will include duration of employment for all seasons worked. Workers will have free access, upon request, to their own employee files. Farmers will provide workers with a copy of their own employment records upon request.
- e. Workers will be provided documents, as well as a presentation, in their native language, or if not possible, in a language in which they are fully fluent, detailing their rights and responsibilities, as well as other provisions granted by these standards in a timely manner after initial employment.
- f. Farmers will present information to workers orally to ensure that workers understand their employment contract and any other work-related written documents.
- g. Regular meetings between management and workers will be held during paid working hours.

**3.1.5. Anti-discrimination clause**

- a. Employer will not discriminate against any employee or prospective employee, in hiring, wages, benefits, or any other capacity, on the basis of race, creed, color, national or ethnic origin, nationality, gender, gender identity, age, handicap or disability (including HIV status), union or political activity, immigration status, citizenship status, marital status, or sexual orientation.<sup>11</sup>

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<sup>11</sup> This standard does not restrict an employer from complying with legally required procedures such as in the USA I-9 verification procedures.

- b. Employers must not display favoritism in the assigning of work tasks or other working conditions.

**3.1.6. Human relations**

- a. All employees will be treated with dignity and respect.
- b. No physical, psychological, verbal, or sexual harassment or abuse is tolerated.
- c. No corporal punishment is allowed.
- d. Deductions from wages as a disciplinary measure are not practiced.
- e. Employer does not retain worker's original legal documents for a period longer than demanded by law.
- f. Employer does not retain any part of a worker's salary or benefits.

**3.1.7. Regular performance reviews**

- a. Employers will provide regular performance reviews for all workers, once a year at a minimum.
- b. The person performing the review will write an evaluation that will be placed in the worker's file, and workers will have access to their own files.

**3.1.8. Recruitment agencies**

- a. If the employer uses agencies to recruit employees, such agencies must be in compliance with all legal requirements.

**3.1.9. Direct hiring**

- a. The employees will be hired directly by the farm owner.
- b. Intermediaries such as labor contractors shall not be used by AJP compliant farms, without receiving an approved certifier variance based on the tiered steps in 3.1.10 below. Before seeking variance for direct hire employer must first post or advertise labor need to the local community in an attempt to hire qualified individuals directly.

**3.1.10. Labor contractors**

- a. When using a labor contractor, the farmer is responsible along with the contractor for the terms of employment and working conditions while the contracted workers are working on the farm. The farmer must **agree to or** adopt legal **employer or** joint employer status for all who work on the farm. This must be explicitly stated in written form such as a written disclosure or as part of the farm employee manual. All wages, terms of employment, benefits, etc. (except for length of employment) must be extended equally to contracted workers. The one exception to this standard is for the use of any subcontractors performing extraordinary **and non-farm business** functions such as plumbing or electrical work by businesses which are engaged in independently established trade
- b. In the case of a documented need for the use of a labor contractor in any of the following scenarios, the farmer will follow all pertinent laws, including but not limited to using licensed contractors.

*3.0. Farmer Responsibilities to Farm Employees and Interns*

- c. If there is a dispute or grievance at the worksite, an employer cannot use a labor contractor or employment agency in any of the below scenarios to interfere with the grievance or conflict resolution process.

**Scenario One:**

- d. The farm employers seeking variance to direct hire shall first document their need and report such need to their certifier (including documentation that the farmer advertised locally for workers and was unable to find qualified workers).
- e. Secondly, such farmers shall seek to work collaboratively with an organization representing agricultural workers, rather than a labor contractor, and farm owner must document this effort. If, such collaboration is successful and the worker organization serves as an intermediary on an on-going basis then the worker organization will be included in the certifier's audit of the farm. If the worker organization's role is only to identify workers that are then hired directly by the farm owner then these employees are covered under employee rights for directly hired workers in this section.
- f. Thirdly, if such collaboration is not possible then farmer will submit an initial request to the certifier (including documentation of the above steps) to use an AJP compliant labor contractor (which may either be an independent AJP certified labor contractor or a labor contractor that is fully audited in addition to the farm, and in compliance throughout their business with all AJP standards for which they have direct control<sup>[1]</sup>). In subsequent years, the farmer will submit justification to the certifier for continued use of the same labor contractor (and this labor contractor will be either AJP certified or part of the annual farm audit).

**Scenario Two:**

- g. Farmers failing the above requirements but remaining in need of contracted farm labor shall be granted a transition period based on a plan approved by certifier and recognized by AJP for the elimination of all non-AJP approved contractors or the development of an alternative service which is AJP compliant.
  - i. The exact period of this transition must be satisfactorily completed within a maximum of two consecutive farming seasons or one 18 -month period, whichever is shorter. Such approved transition plans shall remain under certifier and AJP supervision.
  - ii. Such transition plans shall not only be limited in time but cannot represent more than 20 percent of the total labor hours and no more than 25 days annually of total farm labor used during this period.
  - iii. Such approved transition labor contractors must maintain a clean labor violation record and farmer must discontinue use of labor contractor if notified by AJP of a labor violation on the part of the labor contractor.

**Scenario Three:**

- h. Emergency exemption – Farmers who suffer temporary unforeseen labor crisis due to severe weather, natural disasters, or other such unexpected calamities or unexpected loss of existing labor force shall have the right to seek emergency labor through any means. Under no circumstance shall this occur other than for documented and fully temporary emergencies. Post emergency, the farmer must submit to the certifier, an explanation of

the emergency situation, labor contractor and labor used and timeframe, as well as a plan for how such emergency needs for labor could be more compliant with AJP standards in the future.

**3.1.11. Forced labor**

- a. Forced labor including bonded or involuntary prison labor, is prohibited in any form. This includes indirect forms of coercion including withholding of pay, debt or payment of deposits (other than reasonable security deposits on housing if provided), extortion, or physical or psychological threats or abuse.

**3.1.12. Family working relationships**

- a. Employment is not conditional on the employment of the domestic partner. Domestic partners have the right to work elsewhere.
- b. Domestic partners shall not be direct supervisors of one another.

**3.1.13. Compliance**

- a. The employer will not engage or participate in voluntary programs or practices that prevent or prohibit full compliance with the standards set forth in this document. In the U.S.A., this currently includes guestworker visa programs including h2A and h2B.<sup>ii</sup>
- b. The employer does not hire and fire workers on a continual basis to avoid providing regular employment. There is no indication that sub-contracting, homeworking, apprenticeships or other methods are used to avoid providing regular employment and direct hiring.
- c. Employers will not use independent contractors to avoid an employer-employee contract.
- d. Negotiations with independent contractors will be in good faith. Contracts will outline terms of work including timeframe, pay that is considered fair to both parties, payment method, deliverables, terms of terminating the contract, resources to be provided to contractor and resources contractor is required to supply themselves, any additional benefits, and an explicit anti-discrimination clause that complies with the AJP standards.
- e. All relevant federal, state, and local laws covering working conditions, health and safety, and terms of employment must be complied with.<sup>12</sup> It is the responsibility of the employer to know his/her legal obligations and to comply.

**3.1.14. Termination**

- a. No worker will be disciplined or terminated without just cause. The enterprise has a documented disciplinary procedure with a system of warnings before any dismissal, and employees must be given full details on why they are being dismissed.
- b. Upon the worker's request, the worker has the right to have a worker representative or union representative of their choosing present during any disciplinary interview.
- c. Any worker who is found to have been disciplined or discharged unjustly through use of the Conflict Resolution Procedure will be:
  - i. Reinstated and compensated for loss of earnings during the period of the related discharge or disciplinary action or
  - ii. If reinstatement is not the desire of both employee and employer, the employer will offer a mutually agreeable severance package.

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<sup>12</sup>AJP guidance on employee immigration status is covered separately.

3.0. Farmer Responsibilities to Farm Employees and Interns

- iii. In the case of a worker being found to have been fired unjustly, employer will not blacklist them formally or informally.
- d. Workers have the right to terminate employment without restriction.
- e. Employers must have a policy on factors they will consider when making decisions about lay-offs if such a situation arises. This policy must include (but is not limited to) consideration of seniority. This policy must be part of the employment contract with employees (and therefore negotiated by individuals, or worker representatives democratically selected by employees in the case of collective bargaining.) The employer will give employees notice of a pending lay-off in writing as soon as he/she is aware of the financial necessity of such a decision, and at least 14 days. Employer must share financial details of need for lay-off with employees with written notice. The employer must provide final paychecks immediately upon termination by lay off.
- f. The employer must also have a policy that is included in the employee contracts and/or personnel manual that outlines the criteria that will be used for recalling employees.

**3.1.15. Training for farm employees and interns**

- a. In a timely manner after initial employment, the employer will conduct, or otherwise provide for, training of his/her employees regarding their legal rights as employees. This training must include a presentation to employees of additional rights granted them under AJP. All employees must receive a copy of the AJP workers' rights pamphlet prior to or as part of this training.
- b. Employers must post in an area accessible to and frequented by employees information regarding their rights under AJP.
- c. *If not conducted by the farmer, such training may be conducted by local farm employee unions or other organizations, or in their absence, legal services or similar agencies.*
- d. Employer must provide contact information of local or regional worker advocate groups and AJP sponsored worker groups posted in plain sight along with other required postings for employees
- e. All trainings must include opportunities for worker questions to be addressed and concerns to be raised. The exact format can vary depending on context but should always be more than the simple submission of written materials to employees to read on their own time, and should take into account employees who do not have sufficient reading skills to understand written materials.

**3.2 Child Labor**

- a. Hiring minors (under 18) for full-time labor is prohibited. In countries where young people can legally leave school at the age of 16, these standards would allow the full-time employment of 16 to 18 year olds if it can be documented that the minors either completed high school or left school voluntarily.
- b. Under no circumstances will minors be given tasks that expose them to hazards or potential hazards such as chemicals or machinery. Minors should not work at night, and all loads carried or lifted or other physical demands placed on them should be age appropriate. Minors should be carefully supervised. Minors will be assigned tasks commensurate with their physical limitations.
- c. Minors will not be kept from schooling or school work in order to work on the farm.

3.0. Farmer Responsibilities to Farm Employees and Interns

- d. The employer must facilitate the attendance of schooling programs by children of employees.
- e. *Employer may facilitate attendance of other educational programs by minors that may be available and allow opportunities for parents of school age children to work part time whenever feasible.*
- f. The employer will provide for care of children of employees who are housed on the farm while their parents are working on the farm.

### 3.3 Wages and Benefits

#### 3.3.1. Living wage

- a. Employees will receive a living wage, defined as the net wage earned during a country's legal maximum work week, but not more than 48 hours, that provides for the needs of an average family unit (nutrition, clothing, health care, education, potable water, child care, transportation, housing, and energy), plus savings (10 percent of income). The amount of a living wage will vary by region. A living wage can be inclusive of non-monetary fringe benefits.
- b. Wages will allow for employees' access to culture and recreation.
- c. Farmers will phase out or eliminate piece rate. Employers must not use other requirements that are a practical equivalent with piece rate, such as a minimum required harvest in order to earn the hourly rate. When piece rate is still in use (during phase out) it must be an hourly equivalent that complies with the living wage standards for all employees.
- d. Employer will make clear to employees in pay stubs or other equivalent records submitted to the employee: the wage rate, all legally required deductions and other mutually agreed upon deductions, for instance for employer-provided housing.
- e. *If fair trade brings a higher revenue to the business, employers may increase wages and benefits for workers first.*
- f. *Employers may provide year or season-end bonuses to employees.*
- g. For pay increases a clear policy will be developed and communicated to workers based on criteria that could include seniority, job performance based on transparent evaluations, and business profits.
- h. *Employers may develop mechanisms that encourage increased participation and responsibility of employees in the enterprise and provide wages and benefits commensurate with such increased responsibility.*
- i. *Farms may provide year round employment for their workers.*

#### 3.3.2 Economic realities

In the current economy, agricultural producers will not always be able to pay a living wage. When this occurs the following standards apply.

#### IF UNABLE TO PAY LIVING WAGE:

- a. Employers must document and justify their inability to pay a living wage to their employees.

**IF UNABLE TO PAY LIVING WAGE:**

- b. Employers must disclose their financial records and cost of production data sufficient to verify their financial status and allow for fair and transparent negotiations. Such financial records must be made available to both the certifier and to employees and/or their chosen representatives.

**IF UNABLE TO PAY LIVING WAGE:**

- c. Actual wages must be determined through a negotiation process between the employer and individual employee or democratically chosen, free from employer or supervisor interference or intimidation, representatives of employees.

**IF UNABLE TO PAY LIVING WAGE:**

- d. In no case will wages fall below prevailing wages for equivalent work for that region. This, however, shall be viewed as a floor only justified by short-term economic hardship, and wages will be expected to increase.

**IF UNABLE TO PAY LIVING WAGE:**

- e. In no case will the ratio of lowest paid employee to highest paid (including the farm owner/employer) be greater than one to eight, in accordance with principles of a democratic workplace.

**IF UNABLE TO PAY LIVING WAGE:**

- f. The employer must implement a plan to reach the goal of a living wage and, with participation of workers and/or their representatives, develop a process by which progress towards that goal is measured.

**IF UNABLE TO PAY LIVING WAGE:**

- g. Wages of employees shall increase with increased profitability (net income) of the farm.

**3.3.3. Right to benefits**

- a. Employers will provide employees workers compensation, disability, and unemployment coverage, social security, sick leave (unpaid at least), and maternity or paternity leave.
- b. Employers will never require an employee to work who is ill or requiring medical attention.
- c. Employer will not discipline a worker in any way for missing work due to illness or illness in the family (this does not preclude the employer from requiring the employee to notify the employer as soon as possible and/or to provide healthcare provider note or documentation of illness for long absences).
- d. *Employers may offer paid sick leave.*
- e. In those jurisdictions in which certain benefits such as workers compensation do not require the inclusion of agricultural workers, and such programs are unavailable on a voluntary basis, employers must document a reliable alternative method that will adequately provide for financial needs of employees injured or disabled on the job. (Such coverage is mutually beneficial as it should also provide protection to the employer from excessive liability exposure in the case of a work-related accident or injury.)

#### **3.3.4. Day of rest and overtime**

Employers will abide by regional employment laws. However, the following conditions must be met at a minimum even if regional laws do not cover workers or if laws are weaker than the following standard:

- a. Employers must comply with all laws pertaining to overtime and total hours worked per week, as well as the standards outline here.
- b. Employer will provide all employees at least one day of rest out of every seven.
- c. Time lost due to machine stoppage and other events beyond the control of the employee other than adverse weather conditions will be fully remunerated even for short-term hourly paid labor.
- d. Employers will not require a worker to work more than an average of 48 hours per workweek. Work beyond this average must be agreed to by the worker.
- e. Employers must develop an overtime policy (in negotiations with employees or their democratically chosen worker representatives if they so choose, free from employer influence or intimidation), with the understood goal through continual improvement of providing overtime pay (time and a half) to employees when not required by law. Overtime hours (logged over 48 per week) will be well documented and this documentation shared with workers at their request.
- f. Employers will plan for and make every effort to provide alternative work to employees during otherwise unproductive time due to poor weather conditions.
- g. Employees must know if overtime is mandatory before signing an employee agreement or taking a job.
- h. Special consideration must be given regarding overtime requirements for employees who have children they must be home to care for.

#### **3.3.5 Seniority**

- a. Employers shall implement a seniority policy for those workers continuously employed and those who return for successive seasons.

#### **3.3.6. Equal pay for equal or equivalent work**

- a. All workers performing the same task will be paid the same wages. (NOTE: This clause shall not prohibit the employer from developing pay scales based upon seniority as outlined above or based upon productivity or other measurable indicators that are documented by the employer.)

#### **3.3.7. Right to return to seasonal position**

- a. In the case of seasonal employment, workers must have the right to return for employment in successive years or seasons, in accordance with seniority, unless the employer can provide justification for denying re-hiring.

#### **3.3.8. Penalties and deductions**

- a. Provisions such as initial deposits, excessive and unwarranted deductions, or withholdings of any pay until the end of the season are prohibited.<sup>13</sup>

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<sup>1</sup> As defined in ILO Conventions #95 (Protection of Wages) and #105 (Abolition of Forced Labor).

**3.3.9. Leave of absence**

- a. Workers must be granted unpaid (at least) leaves of absence of appropriate length for maternity leave, paternity leave, or medical or family emergencies, in accordance with the Medical and Family Leave Act and any additional agreements reached between the Employer and the Employees.
- b. *Employers may provide paid leaves of absence of appropriate length for maternity, paternity, medical or family emergencies and other types of leave.*
- c. *Employers may develop similar policies for seasonal employees.*

**3.3.10. Regular and timely payments**

- a. Employers will pay workers regularly and in a timely manner and on fixed days, and no less frequently than every two weeks except at an employee's request.
- b. Payments must be properly documented, and the employer's records includes: rates of pay, hours worked, period of payment, details of deductions (for taxes, health insurance, etc), overtime worked, and net amount of wages due.
- c. Payment is made in legal tender (not in the form of promissory notes, vouchers or coupons).

**3.3.11. Additional benefits**

- a. *Employers are encouraged to offer additional benefits to employees in an effort to continually improve. Suggestions include (but are not limited to):*
  - i. *An enterprise that produces, processes, or sells food is encouraged to offer employees a discount on food purchases or free food.*
  - ii. *Employers providing meals to employees may do so at no or low cost (such as covering costs of providing such food).*
  - iii. *The employer is encouraged to do whatever possible to provide small loans at good conditions in case of need.*
  - iv. *Employees may receive advanced training in the farm's practices to allow them to understand the farm's methods of production, marketing and economics, and to improve their skills and value to the business and to advance them in their field of work.*
  - v. *Employer may work toward providing all permanent workers (including regularly returning seasonal workers) full health insurance,*
  - vi. *Employer may work toward providing all permanent workers (including regularly returning seasonal workers) the benefits of a pension or retirement fund,*
  - vii. *Employer may offer profit sharing*
  - viii. *Employer may offer daycare at workplace or allowance for parents to leave on time to pickup children from school.*
  - ix. *Employers may institute a policy of paying time and a half for any work over 48 hours.*
  - x. *Employer may improve on health and safety policies, leave and retirement benefits, and job security above what is required by these standards.*

**3.3.12. Payment of wages from settlements**

- a. If the employer goes out of business or files for bankruptcy, the employee(s) is (are) first in line for payments on wages and other payments owed for completed work from the company assets. The employer cannot waive this right. The contract must contain a provision that guarantees the right of employees to recover unpaid wages and other owed payments from an employer.

**3.4. Employer Provided Housing**

**3.4.1. Tenants rights and housing conditions**

- a. All employer-provided housing must be safe and sanitary.
- b. Housing must conform to legal requirements, including health and safety: The housing provided must be weather proof, solid, spacious enough to comfortably accommodate number of people living in it (including adequate space for socializing during non-work hours), have lighting, electricity, potable water, toilets, and cooking facilities (unless all meals are provided by employer), and be maintained at a comfortable temperature (60-80 degrees Fahrenheit, unless otherwise preferred by tenants).
- c. Housing must have fire escapes or well marked exits and fire extinguishers.
- d. Workers living in employer-provided housing must be provided full visitation rights, i.e. the right to receive visitors of their choosing. Such visitors might include but are not limited to friends, family members, representatives of unions or other organizations promoting the welfare of workers, or health care workers. Note: This provision does not preclude the employer from developing reasonable rules for on-site housing designed to prevent unlawful tenants, or to limit noise or other disturbances to neighbors and/or other residents.
- e. Workers living in employer-provided housing have a right to privacy. The employer/owner has a right to inspect and enter the housing for the purposes of routine maintenance and/or repairs, but must, except for an emergency, notify residents. Such work must be done with a minimum of disturbance to any personal belongings.
- f. If employees are hired with no transportation of their own and housed in employer-provided housing, employer will work collaboratively with employees to ensure their adequate access to shopping and other necessities.
- g. Workers who are terminated from employment before the time period specified by their employment contract and who choose to appeal such termination through the Conflict Resolution Procedure will retain the right to remain in employer provided housing while the appeal is pending except in the case of acts of violence or sexual abuse or other cases in which the employer can document a physical threat or risk to the safety of others.
- h. *Employer provided housing may include proper facilities for recreation.*

**3.4.2. Protection from contamination**

- a. Employers must provide a buffer zone between worker housing and fields or other areas where potentially hazardous substances are applied or stored. (Employers who document that absolutely no hazardous substances are used, including manure, dust, and those that are approved for use on certified organic farms, are exempt from the requirement to provide a buffer zone.)

- b. This buffer zone must protect housing and water supplies from drift, direct application, and contamination from agricultural chemicals.

### 3.4.3. Fair rent

- a. Where rent is charged to workers (in localities in which this practice is legal):
  - i. Rent must never be higher than rates charged in the local market for equivalent housing.
  - ii. Wages must be sufficient to justify charging rent.
  - iii. Rents must not compromise the stated goal of providing each worker a living wage.

## 3.5. Health and Safety

### *Principle*

Farmers must protect the health and safety of all employees by minimizing exposure to pesticides, other harmful agricultural inputs, or other workplace hazards.

### *Standards*

#### 3.5.1. Safe workplace

- a. The employer must provide a safe and sanitary working environment, and develop a health and safety plan consistent with the specific nature of the workplace.<sup>14</sup>
- b. Farm must have adequate safety equipment: such as first aid kit, facilities for eye washing.
- c. All indoor workplaces must have adequate lighting, heating and ventilation.
- d. Fire exits, escape routes, firefighting equipment and fire alarms will be provided. They are properly marked and kept clear of obstruction, allowing swift and safe exit during emergencies.
- e. Electrical equipment, wiring and outlets must be properly placed, grounded and inspected for overloading and leakage by a qualified individual on a regular basis.
- f. Any transportation provided by the employer must be safe and in compliance with legal requirements.
- g. Employer will not send employees to work in dangerous conditions, such as unusual and potentially hazardous weather events.
- h. Employer will not pressure workers into lifting loads that are beyond what they can do safely.
- i. *Employers are encouraged to enable and work with workers to consider cutting edge health and safety issues and to develop workplace health and safety plan and achieve aims related to these innovations*

#### 3.5.2. Safety training

- a. Employers must provide timely trainings for workers regarding workers' legal rights related to worker protection, pesticide safety, and requirements for sanitation and food safety. In the U.S., as required by law, employers must ensure that agricultural workers are trained in the EPA Worker Protection Standard. *For the purposes of AJP, employers*

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<sup>14</sup>Protection from hazardous employment is outlined in ILO Convention 138.

3.0. Farmer Responsibilities to Farm Employees and Interns

*are encouraged to utilize a qualified farmworker organization to conduct the training. Health and safety trainings required by law in other jurisdictions must be implemented.*

- b. Employers must conduct safety training before new employees are exposed to any potential toxins or workplace hazards. This training must include proper lifting techniques and weight limits.
- c. Employers must educate employees on adequate ergonomic considerations to promote long-term well-being.
- d. *Employers are encouraged to make arrangements for unions or workers' organizations to conduct these trainings when possible.*
- e. All employees performing particularly hazardous tasks, such as but not limited to spraying, tractor or other equipment usage, must receive adequate and thorough additional training.

**3.5.3. Access to medical care**

- a. Workers must have access to adequate medical care of their choice.
- b. In the event of workplace injuries or illnesses, the employer is responsible for providing transportation for workers to medical care, or for facilitating the timely arrival of medical personnel to the farm or employer-provided housing to care for employees.
- c. In case of work related accidents, the employer must provide worker's compensation or other comprehensive medical insurance coverage.

**3.5.4. Rest and sanitary facilities**

- a. Employers must encourage workers to take sufficient breaks to allow for periodic rest, consumption of water, use of sanitation facilities, and the prevention of heat-related ailments, exposure to extreme weather events (including but not limited to high winds, fires, cold temperatures, and storms). Taking legally required breaks will be enforced without exception.
- b. Employers must provide field sanitation facilities within reasonable distance from the work site (in the USA the OSHA standard of a quarter mile or 15 minute walk maximum should be followed).
- c. Employers must provide safe and clean water to workers for consumption, washing, (and household use in the case of employer-provided housing).
- d. In cases in which employees work for short periods of time in distant fields, and the employer is not legally required to provide sanitation facilities, such as exemptions for smaller operations, the employer may in lieu of providing on site facilities, provide the workers with independent means of transportation to travel to sanitation facilities. In this case employees must be given explicit instructions to make use of this as needed. All time including travel to and from the sanitation facilities will be on the clock.
- e. If the employer requires employees to wear a uniform, the employer provides all workers with the required clothing free of charge.
- f. The farmer must provide all workers who are exposed to toxic materials with necessary working clothes and protective equipment appropriate to their tasks free of charge.
- g. The farmer must provide a dedicated area for undressing and washing with individual lockable storage facilities available to all workers, when workers are exposed to toxic materials or required to change clothing for work.

**3.5.5. Work related accidents and injuries**

- a. An accident or injury rate higher than the average for similar operations in the region is unacceptable and must be fully explained to the certifier.
- b. In such a case the employer must develop a comprehensive plan to lower the accident rate in an efficient manner, taking into account factors including average hours worked by employees, equipment maintenance, and adequate training and supervision. This plan will be implemented in a timely manner.
- c. Employers must document all workplace accidents and injuries and retain such records for at least five years after the date of the incident.
- d. If a worker is injured or disabled the company will cooperate with the employee in order to receive any available benefits from insurance or government programs, and agree to a mutually agreeable mediation process before taking any legal action to prevent the worker from receiving those benefits.
- e. When an accident occurs on the worksite or in employer-provided housing, or during transportation if employer provides transportation to workers on a regular basis, then the employer must seek medical attention for the employee without delay and facilitate the process of accessing adequate medical care for the employee.

**3.5.6. Reduction of accidents**

- a. Employers must demonstrate a commitment to continual reduction of the injury and accidents rate in the workplace.

**3.5.7. Health and safety committee**

- a. For employers with 10 or more employees (including interns), employers must maintain a Workplace Health and Safety Committee which meets regularly to address relevant issues.
- b. In the absence of union representation, participation must be open to all interested employees or determined through a democratic process by employees.
- c. Members of the committee must have free access to all documents and information pertinent to issues of health and safety, as long as such access does not violate the privacy rights of any individual employee.
- d. On those farms with fewer than 10 employees (including interns), employers do not need to maintain an official committee, but will meet regularly with all employee(s) to address workplace health and safety in the manner described above. The right to access documents and information as described above applies equally to such employee(s).
- e. The employer should make every effort to involve workers and their representatives in the addressing of health and safety concerns.

**3.5.8. Right to know about toxic materials**

- a. Employers must provide information to workers about the hazardous and toxic materials used in their workplace including but not limited to agricultural chemicals and genetically modified organisms.
- b. Employers must provide workers with unimpeded access to label information and other written information in their possession pertaining to the potential toxicity of materials used in the workplace (for instance MSDS).

*3.0. Farmer Responsibilities to Farm Employees and Interns*

- c. Provisions such as oral presentations must be made for workers who are not fully literate or unable to read the information in the language provided.
- d. Workers handling hazardous materials must be provided with adequate training and personal protective equipment of good quality and in good condition at the employer's expense. Workers must always use such equipment and must never take it to their homes.
- e. Workers engaged in handling any potentially hazardous materials must be given medical examinations at regular intervals by a physician at the expense of the employer, and records of these examinations are kept in the workers file for five years.

**3.5.9. Least toxic alternative**

- a. Farmer shall provide organic certificate, plus a copy of the section of their organic plan where they list approved materials allowed in the certified organic operation in use or, if not certified organic:
- b. If toxic substances of any kind are used on the farm, the farmer must provide written documentation about how these meet the least toxic alternative that complies with bio intensive IPM criteria and how these criteria have been implemented, specifically:
  - i. The farmer must develop and implement a tiered least toxic alternative pest management plan that includes at a minimum:
    - A list of potential pest problems,
    - A first tier response using non-pesticide control of pests,
    - A second tier response that uses the least toxic alternative, which includes reduced risk pesticides to minimize risks to the ecological balance of the farm and to employees and interns.
  - ii. If the farm already uses toxic materials at the time of applying for certification, a plan to steadily reduce the use of these materials that complies with this least toxic alternative pest management plan standard must be implemented.
  - iii. The farmer will not use high-risk materials or high risk inert materials (see Appendix I).
  - iv. Farmer will not use GMOs.
  - v. Farmer will not use cloned animals.
  - vi. Farmer will not use nanotechnology.
- c. Chemical, physical and biological substances and agents used on the farm must be stored, issued, handled and used in such a way that health risks are minimized.
- d. After spraying pesticides on the farm, re-entry intervals as specified on the product label, MSDS, or user instruction manual must be strictly observed..

**3.5.10. Retention of injured workers**

- a. Employers must make every effort to maintain the employment of workers who are injured on the job by providing a job that is compatible with any physical limitations due to their injury. Such workers must receive wages comparable to those earned before the injury. The injured worker will receive a job compatible with the injury and receive pay for that position at a rate based on worker's seniority from previous position
- b. In the event that no such employment is possible, the injured worker will receive compensation as provided in Worker Compensation or Disability statutes of the applicable jurisdiction or other acceptable alternative if not a legal requirement.

**3.5.11. Choice of health providers**

- a. Workers shall have the opportunity to select and submit the names of health care providers - to any list of qualified doctors for the purposes of worker compensation and disability programs.

**3.5.12. Protection from hazards**

- a. Pregnant employees may not, under any circumstances, perform potentially hazardous tasks (including exposure to hazardous substances).
- b. Pregnant employees must be assigned tasks commensurate with their physical limitations.

**3.5.13. Health and safety violations**

- a. Repeated health and safety violations, and/or any one gross violation resulting in real or potential serious harm to workers are not permitted.

**3.6. Interns and Apprentices**

***Principle***

Farm internships must provide the practical skill acquisition and learning necessary to become a farmer.

***Standards***

**3.6.1. Intern/apprentice rights**

- a. Since interns/apprentices work primarily for the educational experience rather than for economic compensation, they are exempt from the portions of these standards related to economic compensation. Instead, the intern/apprentice and the farmer shall agree on a fair stipend to cover the living expenses of the intern while compensating the farmer for providing instruction.
- b. To ensure that farmers do not classify workers as interns or apprentices in order to make inappropriate use of this exemption, employers must document the educational opportunities made available to the interns/apprentices.
- c. Employers must comply with the other provisions of these Social Justice Standards with regard to their use of labor from interns/apprentices including provision of the right of interns/apprentices to organize and bargain collectively and to receive full training in their legal rights as employees and under these standards.

**3.6.2. Contracts**

- a. Employers must formalize their relationship with interns/apprentices through contracts that include at least the following administrative provisions:
  - i. The intern/apprentice's working conditions.
  - ii. Methods of evaluation for providing regular feedback and for mutual evaluation at the end of the internship.
  - iii. Disciplinary procedures.
  - iv. The stipend to be provided to the intern/apprentice by the farmer.
  - v. Housing to be provided, if any.
  - vi. The farmer's expectations for working hours and types of labor to be provided.

3.0. Farmer Responsibilities to Farm Employees and Interns

- b. The contract must also cover the opportunities to be provided by the employer for the intern/apprentice to meet educational goals either at the farm or through visits to other farms, conferences, workshops, or other appropriate means, including at least:
  - i. The subjects about which the intern/apprentice desires to learn.
  - ii. The educational approach of the farm (hands-on, classroom style).

**3.7. Continual Improvement**

- a. Farmers are required to continually improve their hiring and employment practices as they relate to the principles of the AJP standards. Farmers must select an area in section 3.0 to work on and make progress annually. Farmers may select from one of the suggested/encouraged standards outlined by AJP in this section or develop a specific practice that aligns with the principles that is not outlined in the standards in this section.
- b. The farmer must document the area of specific selected improvement and progress towards this annually. If progress was not achieved the farmer must submit:
  - i. The efforts they engaged in during the year
  - ii. The reasons it did not work, and
  - iii. The revised plan for improvement for the next year

**3.8. Community Relations**

- a. *AJP certified farms are encouraged to cooperate with neighbors and to invest in their community for more sustainable and just community relations. Suggestions include (but are not limited to):*
  - i. *Developing a policy of hiring and training local people*
  - ii. *Purchasing from local and regional suppliers of products and services*
  - iii. *Providing resources to promote fair labor practices and living wages throughout the community.*
  - iv. *Supporting local schools, health and social services, cultural events and language classes and translation services.*

#### 4.0. Food Business Responsibilities to Employees

Farm Employees are covered under Section 3.0.

##### *Principle*

All workers have the right to safe working conditions, just treatment, and fair compensation.

##### *Standards*

#### 4.1. Labor Rights

##### 4.1.1. Freedom of association

- a. All workers have the right to freedom of association, to organize, and to bargain collectively, free from retaliation of any kind by the employer or his/her agents. This right must be explicitly included in the employee contract and policies.
- b. Workers with claims of employer retaliation for the exercise of these rights shall have access to an efficient and fair conflict resolution procedure to resolve the dispute in question.
- c. Access must not be denied to representatives of labor organizations assisting workers in exercising these rights, so long as such visits are during non-working hours.

##### 4.1.2. Negotiation process

- a. The employer will recognize and negotiate in good faith the terms of employment with (a) any employee, in the case of a single hired worker, (b) any group of employees, in the case of more than one hired worker, who choose to bargain collectively, or (c) representatives democratically chosen by employee(s), which could include union representation, free from employer or supervisor interference or intimidation. All references to negotiating and negotiating rights contained in these standards shall be interpreted to apply to one of the three above categories, as appropriate in each particular case.
- b. Contracts between employer and employee will contain requirements for all disputes to be handled in a speedy fashion with imposition of penalties for actions conducted without “good faith.”
- c. If either party is found to be negotiating in bad faith, the food business will allow access to the conflict resolution procedure outlined by AJP.
- d. *Employers and workers may develop a 5 year plan (with 1 year goals by which progress can be measured) for improving the fairness and equity of the operation.*

##### 4.1.3. Conflict resolution procedure

- a. The employer must have in place an internal conflict resolution procedure available to all workers. This procedure must include a multi-step process to use in cases when conflicts cannot be resolved in the first attempt. Workers who have complaints against their employer related to workplace practices including employer retaliation for workers’ submission of complaints, and who are not satisfied with the result of the internal conflict resolution procedure (which may include an option for seeking assistance from a dispute settlement center), will be able to present their case through the external AJP Conflict

Resolution Procedure. Workers must be informed of this process and how to access it as part of their employee training.

**4.1.4. Transparency and full disclosure**

- a. All employees will receive a written contract and/or a written personnel policy manual containing the terms and conditions of employment. Employers will provide workers with a copy of the contract and/or manual defining working conditions and the disciplinary procedures that are followed in the workplace. Contracts must be written in the worker's native language or in another language accessible to the worker.
- b. Contracts must include: rights and responsibilities, wages and method of payment, location and type of work (job description), hours of work and overtime requirements and rates, access to trade unions, complaints procedure, conflict resolution process as outlined above in 4.1.3., health and safety procedures, disciplinary procedure, holiday pay, sick pay or sickness benefit or leave, compensation for injury (e.g., worker's compensation), worker's right to terminate employment, workers' right to recover wages in cases of business bankruptcy and the right to make public the nature of any dispute if they so choose with the exception of disclosure of confidential or proprietary information, other benefits such as pensions, maternity/paternity leave, and layoff and recall policies and criteria.
- c. Any subsequent changes in this contract will be negotiated with workers or with their representatives, democratically chosen, free from employer or supervisor interference or intimidation.
- d. Employers will maintain adequate records in employee files including wages and other remuneration, performance reviews, and any disciplinary actions taken. This applies to seasonal workers as well and in such cases file will include duration of employment for all seasons worked. Workers will have free access, upon request, to their own employee files. Employers will provide workers with a copy of their own employment records upon request.
- e. Workers will be provided documents as well as a presentation, in their native language, or if not possible, in a language in which they are fully fluent, detailing their rights and responsibilities, as well as other provisions granted by these standards in a timely manner after initial employment.
- f. Employers will present information to workers orally to ensure that workers understand their employment contract and any other work-related written documents.
- g. Regular meetings between management and workers will be held during paid working hours.

**4.1.5. Anti-discrimination clause**

- a. Employer will not discriminate against any employee or prospective employee, in hiring, wages, benefits, or any other capacity, on the basis of race, creed, color, national or ethnic origin, nationality, gender, gender identity, age, handicap or disability (including HIV status), union or political activity, immigration status, citizenship status, marital status, or sexual orientation.<sup>13</sup>

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<sup>13</sup> This standard does not restrict an employer from complying with legally required procedures such as in the USA I-9 verification procedures.

- b. Employers must not display favoritism in the assigning of work tasks or other working conditions.

**4.1.6. Human relations**

- a. All employees will be treated with dignity and respect.
- b. No physical, psychological, verbal, or sexual harassment or abuse is tolerated.
- c. No corporal punishment is allowed.
- d. Deductions from wages as a disciplinary measure are not practiced.
- e. Employer does not retain worker's original legal documents for a period longer than demanded by law.
- f. Employer does not retain any part of a worker's salary or benefits.

**4.1.7. Regular performance reviews**

- a. Employers will provide regular performance reviews for all workers, once a year at a minimum.
- b. The person performing the review will write an evaluation that will be placed in the worker's file, and workers will have access to their own files.

**4.1.8. Recruitment agencies**

- a. If the employer uses agencies to recruit employees, such agencies must be in compliance with all legal requirements.

**4.1.9. Direct hiring**

- a. The employees will be hired directly by the employer.
- b. Intermediaries such as labor contractors shall not be used by AJP compliant food businesses.

**4.1.10. Forced labor**

- a. Forced labor including bonded or involuntary prison labor is prohibited in any form. This includes indirect forms of coercion including withholding of pay, debt or payment of deposits (other than reasonable security deposits on housing if provided), extortion, or physical or psychological threats or abuse.

**4.1.11. Family working relationships**

- a. Employment is not conditional on the employment of the domestic partner. Domestic partners have the right to work elsewhere.
- b. Domestic partners shall not be direct supervisors of one another.

**4.1.12. Compliance**

- a. The employer will not engage or participate in voluntary programs or practices that prevent or prohibit full compliance with the standards set forth in this document. In the U.S.A., this currently includes guestworker visa programs including h2A and h2B.<sup>iii</sup>
- b. The employer does not hire and fire workers on a continual basis to avoid regular employment. No indication that sub-contracting, homeworking, apprenticeships or other methods are used to avoid providing regular employment and direct contracting.
- c. Employers will not use independent contractors to avoid an employer-employee contract.

- d. Negotiations with independent contractors will be in good faith. Contracts will outline terms of work including timeframe, pay that is considered fair to both parties, payment method, deliverables, terms of terminating the contract, resources to be provided to contractor and resources contractor is required to supply themselves, any additional benefits, and an explicit anti-discrimination clause that complies with the AJP standards.
- e. All relevant federal, state, and local laws covering working conditions, health and safety, and terms of employment must be complied with.<sup>14</sup> It is the responsibility of the employer to know his/her legal obligations and to comply.

#### **4.1.13. Termination**

- a. No worker will be disciplined or terminated without just cause. The enterprise has a documented disciplinary procedure with a system of warnings before any dismissal, and employees must be given full details on why they are being dismissed.
- b. Upon the worker's request, the worker has the right to have a worker representative or union representative of their choosing present during any disciplinary interview.
- c. Any worker who is found to have been disciplined or discharged unjustly through use of the Conflict Resolution Procedure will be:
  - i. Reinstated and compensated for loss of earnings during the period of the related discharge or disciplinary action or
  - ii. If reinstatement is not the desire of both the employee and employer, the employer will offer a mutually agreeable severance package.
  - iii. In the case of a worker being found to have been fired unjustly, employer will not blacklist them formally or informally.
- d. Workers have the right to terminate employment without restriction.
- e. Employers must have a policy on factors they will consider when making decisions about lay-offs if such a situation arises. This policy must include (but is not limited to) consideration of seniority. This policy must be part of the employment contract with employees (and therefore negotiated by individuals, or worker representatives democratically selected by employees in the case of collective bargaining. The employer will give employees notice of a pending lay-off in writing as soon as he/she is aware of the financial necessity of such a decision, and at least 14 days. Employer must share financial details of need for lay-off with employees with written notice. The employer must provide final paychecks immediately upon termination by lay off.
- f. The employer must also have a policy that is included in the employee contracts and/or personnel manual that outlines the criteria that will be used for recalling employees.
- g. In the case of food businesses that have at-will status, employers must eliminate at-will status within 2 years of initially applying for certification. Even during this transition period employees will abide by all employee policies and personnel manual provisions and discharge employees only for just cause.

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<sup>14</sup>AJP guidance on employee immigration status is covered separately.

#### 4.1.14. **Trainings for employees and interns**

- a. In a timely manner after initial employment, the employer will conduct, or otherwise provide for, training of his/her employees regarding their legal rights as employees. This training must include a presentation to employees of additional rights granted them under AJP. All employees must receive a copy of the AJP workers' rights pamphlet prior to or as part of this training.
- b. Employer must post in an area accessible to and frequented by employees information regarding their rights under AJP.
- c. *If not conducted by the management or business owner, such training may be conducted by local worker unions or other organizations, or in their absence, legal services or similar agencies.*
- d. Employer must provide contact information of local or regional worker advocate groups and AJP sponsored worker groups posted in plain sight along with other required postings for employees.
- e. All trainings must include opportunities for worker questions to be addressed and concerns to be raised. The exact format can vary depending on context but should always be more than the simple submission of written materials to employees to read on their own time, and should take into account employees who do not have sufficient reading skills to understand written materials.

#### 4.2. **Child Labor**

##### 4.2.1. **Child labor**

- a. Hiring minors (under 18) for full-time labor is prohibited. In countries where young people can legally leave school at the age of 16, AJP standards allow the full-time employment of 16 to 18 year olds if it can be documented that the minors either completed high school or left school voluntarily.
- b. Under no circumstances will minors be given tasks that expose them to hazards or potential hazards such as chemicals or machinery. Minors must not work at night, and all loads carried or lifted or other physical demands placed on them should be age appropriate. Minors should be carefully supervised. Minors will be assigned tasks commensurate with their physical limitations.
- c. Minors will not be kept from schooling or school work in order to work.
- d. The employer must facilitate attendance of schooling programs by children of employees.
- e. *Employer may facilitate attendance of other educational programs by minors that may be available and allow opportunities for parents of school age children to work part time whenever feasible.*

#### 4.3. **Wages and Benefits**

##### 4.3.1. **Living wage**

- a. Employees will receive a living wage, defined as the net wage earned during a country's legal maximum work week, but not more than 40 hours, that provides for the needs of an average family unit (nutrition, clothing, health care, education, potable water, child care, transportation, housing, and energy) plus savings (10 percent of income). The amount of

a living wage will vary by region. A living wage can be inclusive of non-monetary fringe benefits.

- b. Wages will allow for employees' access to culture and recreation.
- c. Employers will phase out or eliminate piece rate. Employers must not use other requirements that are a practical equivalent with piece rate, such as a minimum required achievement in order to earn the hourly rate. When piece rate is still in use (during phase out) it must be an hourly equivalent that complies with the standards regarding living wage for all employees.
- d. *If fair trade brings a higher revenue to the business, employers are encouraged to increase wages and benefits for workers first.*
- e. *Employers are encouraged to provide year or season-end bonuses to employees.*
- f. For pay increases a clear policy will be developed and communicated to workers that is based on criteria that could include seniority, job performance based on transparent evaluations, and business profits.
- g. *Employers may develop mechanisms that encourage increased participation and responsibility of employees in the enterprise. . Employers shall provide wages and benefits commensurate with such increased responsibility.*
- h. *Employers may provide year round employment for their workers.*
- i. Employer will make clear to employees in pay stubs or other equivalent records submitted to the employee: the wage rate, all legally required deductions and other mutually agreed upon deductions, for instance for employer-provided housing.

#### **4.3.2. Economic realities**

In the current economy, employers will not always be able to pay a living wage. When this occurs the following standards apply.

##### **IF UNABLE TO PAY LIVING WAGE:**

- a. Employers must document and justify their inability to pay a living wage to their employees.

##### **IF UNABLE TO PAY LIVING WAGE:**

- b. Employers must disclose their financial records and costs of doing business sufficient to verify their financial status and allow for fair and transparent negotiations. Such financial records must be made available to both the certifier and to employees and/or their chosen representatives.

##### **IF UNABLE TO PAY LIVING WAGE:**

- c. Actual wages must be determined through a negotiation process between the employer and democratically chosen, free from employer or supervisor interference or intimidation, representatives of employees.
- d. In no case will wages fall below prevailing wages for equivalent work for that region. This, however, shall be viewed as a floor only justified by short-term economic hardship, and wages will be expected to increase.
- e. In no case will the ratio of lowest paid employee to highest paid (including the general manager/owner/employer) be greater than one to twelve, in accordance with principles of a democratic workplace.

**IF UNABLE TO PAY LIVING WAGE:**

- f. The employer must implement a plan to reach the goal of a living wage and, with participation of workers and/or their representatives, develop a process by which progress towards that goal is measured.

**IF UNABLE TO PAY LIVING WAGE**

- g. Wages of employees shall increase with increased profitability (net income) of the enterprise.

**4.3.3. Right to benefits**

- a. Employees are entitled to workers compensation, disability, and unemployment coverage, social security, sick leave, and maternity or paternity leave.
- b. Employers should never require an employee to work who is ill or requiring medical attention.
- c. The employer will not discipline a worker in any way for missing work due to illness or illness in the family (this does not preclude the employer from requiring the employee to notify the employer as soon as possible and/or to provide healthcare provider note or documentation of illness for long absences).
- d. *Employers may offer paid sick leave.*

**4.3.4. Day of rest and overtime**

Employers will abide by regional employment laws. However, the following conditions must be met at a minimum even if regional laws do not cover workers or if laws are weaker than the following standard:

- a. Employers must comply with all laws pertaining to overtime and total hours worked per week, as well as the standards outlined here.
- b. Employer will provide all employees at least one day of rest out of every seven.
- c. Time lost due to machine stoppage and other events beyond the control of the employee other than adverse weather conditions are fully remunerated even for short-term hourly paid labor.
- d. Employers will not normally require hourly or exempt workers to work more than an average of 40 hours per workweek. Work beyond this average must be agreed to by the worker.
- e. Employers using exempt status salaried employees must have a policy in place stating the expected workload for each exempt employee and the accuracy of the stated workload should be evaluated annually during the employee's annual evaluation.
- f. Employers must develop an overtime policy (in negotiations with employees or their democratically chosen worker representative if they so choose, free from employer influence or intimidation), with the understood goal of providing overtime pay (time and a half) to employees, when not required by law. Overtime (hours logged over 40 per week) hours are well documented and this documentation is shared with workers at their request.
- g. Overtime is paid at time and a half.
- h. Employers will plan for and make every effort to provide alternative work to employees during otherwise unproductive time due to poor weather conditions.
- i. If accommodation or other in-kind remuneration is offered, worker can choose freely between in-kind and cash payment.

- j. Employees must know if overtime is mandatory before signing an employee agreement or taking a job.
- k. Special consideration must be given regarding overtime requirements for employees who have children they must be home to care for.

**4.3.5. Seniority**

- a. Employers shall implement a seniority policy for those workers employed continuously and those that return for successive seasons.

**4.3.6. Equal pay for equal or equivalent work**

- a. All workers performing the same task will be paid the same wages. (NOTE: This clause shall not prohibit the employer from developing pay scales based upon seniority as outlined above or based upon productivity or other measurable indicators that are documented by the employer.)

**4.3.7. Right to return to seasonal position**

- a. In the case of seasonal employment, workers must have the right to return for employment in successive years or seasons, in accordance with seniority, unless the employer can provide justification for denying re-hiring.

**4.3.8. Penalties and deductions**

- a. Provisions such as initial deposits, excessive and unwarranted deductions, or withholdings of any pay until the end of the fiscal year are prohibited.<sup>15</sup>

**4.3.9. Leave of absence**

- a. Workers must be granted unpaid (at least) leaves of absence of appropriate length for maternity leave, paternity leave, or medical or family emergencies, in accordance with the Medical and Family Leave Act and any additional agreements reached between the Employer and the Employees.
- b. *Employers may provide paid leaves of absence of appropriate length for maternity, paternity, medical or family emergencies and other types of leave.*
- c. *Employers may develop similar policies for seasonal employees.*

**4.3.10. Regular and timely payments**

- a. Employers will pay workers regularly and in a timely manner and on fixed days and no less frequently than every two weeks except at an employee's request.
- b. Payments must be properly documented, and the employer's records include: rates of pay, hours worked, period of payment, details of deductions (for taxes, health insurance, etc), overtime worked, and net amount of wages due.
- c. Payment is made in legal tender (not in the form of promissory notes, vouchers or coupons).

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As defined in ILO Conventions #95 (Protection of Wages) and #105 (Abolition of Forced Labor).

**4.3.11. Additional benefits**

- a. *Employers are encouraged to offer additional benefits to employees in an effort to continually improve. Suggestions include (but are not limited to):*
  - i. *An enterprise that produces, processes, or sells food is encouraged to offer employees a discount on food purchases or free food.*
  - ii. *Employers providing meals to employees may do so at no or low cost (such as covering costs of providing such food).*
  - iii. *The employer is encouraged to do whatever possible to provide small loans at good conditions in case of need.*
  - iv. *Employees may receive training in business practices to allow them to understand the business methods of operation, marketing, and economics, to improve their skills and value to the business and to advance in their field of work,*
  - v. *Employer may work toward providing all permanent workers (including regularly returning seasonal workers) full health insurance,*
  - vi. *Employer may work toward providing all permanent workers (including regularly returning seasonal workers) the benefits of a pension fund,*
  - vii. *Employer may provide profit sharing,*
  - viii. *Employer may offer daycare at workplace or allowance for parents to leave on time to pickup children from school.*
  - ix. *Employer may improve on health and safety policies, leave and retirement benefits, and job security above what is required by these standards.*

**4.3.12. Payment of wages from settlements**

- a. *If the employer goes out of business or files for bankruptcy, the employee(s) is (are) first in line for payments on wages and other payments owed for completed work from the company assets. The employer cannot waive this right. The contract must contain a provision that guarantees the right of employees to recover unpaid wages and other owed payments from an employer.*

**4.4. Employer Provided Housing**

**4.4.1. Tenants rights and housing conditions**

- a. *All employer-provided housing must be safe and sanitary.*
- b. *Housing must conform to legal requirements, including health and safety: The housing provided must be weather proof, solid, spacious enough to comfortably accommodate number of people living in it (including adequate space for socializing during non-work hours), have lighting, electricity, potable water, toilets, and cooking facilities (unless all meals are provided by employer), and be maintained at a comfortable temperature (60-80 degrees Fahrenheit, unless otherwise preferred by tenants).*
- c. *Housing must have fire escapes or well marked exits and fire extinguishers.*
- d. *Workers living in employer-provided housing must be provided full visitation rights, i.e. the right to receive visitors of their choosing. Such visitors might include but are not limited to friends, family members, representatives of unions or other organizations promoting the welfare of workers, or health care workers. Note: This provision does not preclude the employer from developing reasonable rules for on-site housing designed to*

prevent unlawful tenants, or to limit noise or other disturbances to neighbors and/or other residents.

- e. Workers living in employer-provided housing have a right to privacy. The employer/owner has a right to inspect and enter the housing for the purposes of routine maintenance and/or repairs, but must, except for an emergency, notify residents. Such work must be done with a minimum of disturbance to any personal belongings.
- f. If employees are hired with no transportation of their own and housed in employer-provided housing, employer will work collaboratively with employees to ensure their adequate access to shopping and other necessities.
- g. Workers who are terminated from employment before the time period specified by their employment contract and who choose to appeal such termination through the Conflict Resolution Procedure will retain the right to remain in employer provided housing while the appeal is pending except in the case of acts of violence or sexual abuse or other cases in which the employer can document a physical threat or risk to the safety of others..
- h. *Employer provided housing may include proper facilities for recreation.*

#### **4.4.2. Protection from contamination**

- a. Employers must provide a buffer zone between worker housing and areas where potentially hazardous substances are applied or stored. (Employers who document that absolutely no hazardous substances are used, including manure, dust, and substances that are approved for use on certified organic farms, are exempt from the requirement to provide a buffer zone.)
- b. This buffer zone must protect housing and water supplies from drift, direct application, and contamination from agricultural chemicals.

#### **4.4.3. Fair rent**

- a. Where rent is charged to workers (in localities in which this practice is legal):
  - i. Rent must never be higher than rates charged in the local market for equivalent housing.
  - ii. Wages must be sufficient to justify charging rent.
  - iii. Rents must not compromise the stated goal of providing each worker a living wage.

### **4.5. Health and Safety**

#### ***Principle***

Employers must protect the health and safety of all workers by minimizing exposure to any toxins or other workplace hazards.

#### ***Standards***

##### **4.5.1. Safe workplace**

- a. The employer must provide a safe and sanitary working environment, and develop a health and safety plan consistent with the specific nature of the workplace.<sup>16</sup>

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<sup>16</sup> Protection from hazardous employment is outlined in ILO Convention 138.

- b. Workplace must have adequate safety equipment, such as first aid kit, facilities for eye washing.
- c. All indoor workplaces must have adequate lighting, heating and ventilation.
- d. Fire exits, escape routes, firefighting equipment and fire alarms must be provided. They must be properly marked and kept clear of obstruction, allowing swift and safe exit during emergencies.
- e. Electrical equipment, wiring and outlets must be properly placed, grounded and inspected for overloading and leakage by a qualified individual on a regular basis.
- f. Any transportation provided by employer must (*should*) be safe and in compliance with legal requirements.
- g. Employer will not send employees to work in dangerous conditions, such as unusual and potentially hazardous weather events.
- h. Employer will not pressure workers into lifting loads that are beyond what they can do safely.
- i. Employers are encouraged to enable and work with workers to consider cutting edge health and safety issues and to develop workplace health and safety plan and achieve aims related to these innovations

#### **4.5.2. Safety training**

- a. Employers must provide timely trainings for workers regarding workers' legal rights related to worker protection, chemical safety, and requirements for sanitation and food safety. Health and safety trainings required by law in other jurisdictions must be implemented.
- b. Employers must conduct safety training before new employees are exposed to any potential toxins or workplace hazards. This training must include proper lifting techniques and weight limits.
- c. Employers are encouraged to make arrangements for unions or workers' organizations to conduct these trainings when possible.
- d. Employers must educate employees on adequate ergonomic considerations to promote long term well-being.
- e. All employees performing particularly hazardous tasks, such as, but not limited to, use of chemicals and mechanic equipment, must receive adequate and thorough additional training.

#### **4.5.3. Access to medical care**

- a. Workers must have access to adequate medical care of their choice.
- b. In the event of workplace injuries or illness, the employer is responsible for providing transportation for workers to medical care, or for facilitating the timely arrival of medical personnel to the workplace or employer-provided housing to care for employees.
- c. In the case of work related accidents, the employer must provide worker's compensation or other comprehensive medical insurance coverage.

**4.5.4. Rest and sanitation facilities**

- a. Employers must encourage workers to take sufficient breaks to allow for periodic rest, consumption of water, and use of sanitation facilities, and the prevention of heat-related ailments, exposure to extreme weather events (including but not limited to high winds, fires, cold temperatures, and storms). Taking legally required breaks will be enforced without exception.
- b. Employers must provide accessible sanitation facilities that do not result in significant delays to use facilities and or result in docking pay due to distance or inconvenience of location of sanitation facilities.
- c. Employers must provide safe and clean water to workers for consumption and hand washing (and household use in the case of employer-provided housing).
- d. If the employer requires employees to wear a uniform, the employer provides all workers with the required uniform free of charge.
- e. The employer must provide all employees who are exposed to toxic materials with necessary working clothes and protective equipment appropriate to their tasks free of charge.
- f. There is a dedicated area for undressing and washing with individual lockable storage facilities available to all workers if they are required to change clothing for work, when workers are exposed to toxic materials or required to change clothing for work.

**4.5.5. Work related accidents and injuries**

- a. An accident or injury rate higher than the average for similar operations in the region is unacceptable and must be fully explained to the certifier.
- b. In such a case the employer must develop a comprehensive plan to lower the accident rate in an efficient manner, taking into account factors including average hours worked by employees, equipment maintenance, and adequate training and supervision. This plan will be implemented in a timely manner.
- c. Employers must document all workplace accidents and injuries and retain such records for at least five years after the date of the incident.
- d. If an employee is injured or disabled the company will cooperate with the employee in order to receive any available benefits from insurance or government programs, and agree to a mutually agreeable mediation process before taking any legal action to prevent the worker from receiving those benefits.
- e. When an accident occurs on the worksite or in the employer-provided housing, or during transportation if employer provides transportation to employees on a regular basis then the employer must seek medical attention for the employee without delay and facilitate the process of accessing adequate medical care for the employee.

**4.5.6. Reduction of accidents**

- a. Employers must demonstrate a commitment to continual reduction of the injury and accidents rate in the workplace.

**4.5.7. Health and safety committee**

- a. In those businesses with 10 or more employees, employers must maintain a Workplace Health and Safety Committee which meets regularly to address relevant issues.
- b. In the absence of union representation, participation must be open to all interested employees or determined through a democratic process by employees.

- c. Members of the committee must have free access to all documents and information pertinent to issues of health and safety, as long as such access does not violate the privacy rights of any individual employee.
- d. In those businesses with fewer than 10 employees (including interns) employers do not need to maintain an official committee but will meet regularly with all employee(s) to address workplace health and safety in the manner described above. The right to access documents and information as described above applies equally to such employee(s).
- e. The employer will make every effort to involve workers and their representatives in addressing health and safety concerns.

**4.5.8. Right to know about toxic materials**

- a. Employers must provide information to workers about the hazardous and toxic materials used in their workplace.
- b. Employers must provide workers with unimpeded access to label information and other written information in their possession pertaining to the potential toxicity of materials used in the workplace.
- c. Provisions such as oral presentations must be made for workers who are not fully literate or unable to read the information in the language provided.
- d. Workers handling hazardous materials must be provided with adequate training and personal protective equipment of good quality and in good condition at the employer's expense. Workers must always use such equipment and must never take it to their homes.
- e. Workers engaged in handling any potentially hazardous materials must be given medical examinations at regular intervals by a physician at the expense of the employer, and records of these examinations are kept in the workers file for five years.

**4.5.9. Least toxic alternative**

- a. Employer shall provide organic certificate, plus a copy of the section of their organic plan where they list approved materials allowed in the certified organic operation in use or, if not certified organic:
- b. If toxic substances of any kind are used in the workplace, the employer must provide written documentation about how these meet the least toxic alternative that complies with bio intensive IPM criteria and how these criteria have been implemented, specifically:
  - i. The employer must develop and implement a tiered least toxic alternative pest management plan that includes at a minimum:
    - A list of potential pest problems,
    - A first tier response using non-pesticide control of pests,
    - A second tier response that uses the least toxic alternative, which includes reduced risk pesticides to minimize risks to the ecological balance of the operation and to employees and interns.
  - ii. If the operation already uses toxic materials at the time of applying for certification, a plan to steadily reduce the use of these materials that complies with this least toxic alternative pest management plan standard must be implemented.
  - iii. The employer will not use high-risk materials or high risk inert materials (see Appendix I).

- iv. Business will not use GMOs.
- v. Business will not use cloned animals.
- vi. Business will not use nanotechnology.
- c. Chemical, physical and biological substances and agents used on the business site must be stored, issued, handled and used in such a way that health risks are minimized.
- d. After spraying pesticides on the business site, re-entry intervals as specified on the product label, MSDS, or user instruction manual must be strictly observed.

**4.5.10. Retention of injured workers**

- a. Employers must make every effort to maintain the employment of workers who are injured on the job by providing a job that is compatible with any physical limitations due to their injury. Such workers must receive wages comparable to those earned before the injury. The injured worker will receive a job compatible with the injury and receive pay for that position at a rate based on worker's seniority from previous position.
- b. In the event that no such employment is possible, the injured worker will receive compensation as provided in Worker Compensation or Disability statutes of the applicable jurisdiction or other acceptable alternative if not a legal requirement

**4.5.11. Choice of health providers**

- a. Workers shall have the opportunity to select and submit the names of health care providers to any list of qualified doctors for the purposes of worker compensation and disability programs.

**4.5.12. Protection from hazards**

- a. Pregnant employees may not, under any circumstances, perform potentially hazardous tasks (including exposure to hazardous substances).
- b. Pregnant employees must be assigned tasks commensurate with their physical limitations.

**4.5.13. Health and safety violations**

- a. Repeated health and safety violations, and/or any one gross violation resulting in real or potential serious harm to workers are not permitted.

**4.6. Continual Improvement**

- a. Food businesses are required to continually improve their hiring and employment practices as they relate to the principles of the AJP standards. Food businesses must select an area in section 4.0 to work on and make progress on annually. Food businesses may select from one of the suggested/encouraged standards outlined by AJP in this section or develop a specific practice that aligns with the principles that is not outlined in the standards in this section.
- b. The food business must document the area of specific selected improvement and progress towards this annually. If progress was not achieved the business must submit:
  - i. The efforts they engaged in during the year
  - ii. The reasons it did not work, and
  - iii. The revised plan for improvement for the next year.

**4.7. Community Relations**

- a. *AJP certified food businesses are encouraged to cooperate with neighbors and to invest in their community for more sustainable and just community relations. Suggestions include (but are not limited to):*
  - i. *Developing a policy of hiring and training local people*
  - ii. *Purchasing from local and regional suppliers of products and services*
  - iii. *Providing resources to promote fair labor practices and living wages throughout the community.*
  - iv. *Supporting local schools, health and social services, cultural events and language classes and translation service.*

## **5.0. Grower Group Responsibilities**

Grower groups under the AJP standards have responsibilities to farmers (section 1.0), to buyers (section 2.0), to employees on member farms (section 3.0), and to the grower group administrative staff (section 4.0). In addition grower groups are required to meet the standards outlined below regarding the structure and organization of the grower group (section 5.0).

### **5.1. Grower Group Structure**

#### **5.1.1. Compliance**

- a. Grower groups can apply as a group only if less than 15% of member farms in the entire group have hired workers and if the total number of employees in the entire group is less than 10 (even if all on 1 farm), not including co-op administrative staff.
- b. Grower groups must be compliant with all standards in sections 1.0, 2.0, 3.0 and 4.0 of these standards.
- c. Grower Groups must be certified by an AJP accredited certifier.

#### **5.1.2. Democratic structure**

- a. Grower groups must be owned by member farmers.
- b. Farmer cooperatives and grower groups will be democratic in organizational structure.

#### **5.1.3. Record keeping**

- a. Grower group will keep records on member growers that are accessible to growers and on their relationship with grower group management. These records will include:
  - i. Agreements for farm product
  - ii. A list of all member farms and basic characteristics (including name, identification number if relevant for tracking, dates of internal inspections, number of employees and interns, size of operation)
  - iii. Standardized format for gathering information regarding farm practices that are relevant to AJP certification and compliance.
  - iv. Sales, purchasing, and processing records,
  - v. Internal inspection reports
  - vi. Identified internal and external non-compliances and follow up actions
  - vii. Complaints and appeals and follow up.
  - viii. Internal Control System (ICS) trainings.

#### **5.1.4. Notification of certifier**

- a. Grower group will report annually to certifier any changes in structure, governance, and composition of group.
- b. Grower group will notify certifier of internally detected non-compliances and remedy annually.

**5.1.5. Internal control system (ICS)**

- a. The grower group will establish an internal control system that avoids conflict of interest regarding education and outreach, internal auditing decision making, and price negotiations and internal auditing.
- b. Grower group will evaluate effectiveness of ICS annually and make necessary improvements.
- c. Grower group members will commit to comply with AJP standards (in writing), to providing grower group with information about farm operation that is required for internal monitoring and certification, and to participate in the grower group internal audit (including allowing worker representatives to interview workers on farms) and external certification audit.
- d. Grower group ICS will include annual check in with all member farmers regarding compliance with AJP standards and regarding relationships with grower group representative negotiating on their behalf. This includes at a minimum:
  - i. Annual on-farm internal audit of all member farms with any labor (seasonal, year-round, part-time or full-time) with an AJP approved worker representative.
  - ii. On-farm internal audit of all member farms without labor every other year.
  - iii. A separate risk assessment mechanism designed to identify farms that have added labor and a mandatory on-farm, internal audit of these farms in the same year added labor is detected.
- e. Internal auditor must have training in AJP standards and audit protocol.
- f. Internal auditor will not provide assistance regarding compliance for the farms internally audited by that auditor.
- g. Internal non-compliances will be classified as major or minor based on AJP list of major and minor non-compliances.
- h. Grower group will outline in writing and distribute to member growers the sanctions of internally and externally detected non-compliances. This outline of sanctions must include timelines for action and responses and will include situations under which member product will be separated from group product and not sold as certified.
- i. Grower group ICS will have a relationship with an AJP approved worker representative who attends the internal audits (and is provided with the final internal review conclusions).
- j. New grower group members must be inspected internally before any product from their farm can be sold as AJP certified.
- k. The grower group is responsible for the integrity of the internal audit and that it meets all AJP requirements related to the standards and to the monitoring system (ICS).

**5.2. Conflict Resolution Procedure**

- a. The grower group ICS will outline and use a Conflict Resolution Procedure consistent with AJP standards, which will include a process through which growers can appeal internally detected non-compliances.

**5.3. Member Growers' and Management Staff Responsibilities and Understanding**

- a. Member growers are aware of their responsibility for ensuring that grower group personnel and leadership are upholding AJP standards related to farmer responsibilities to buyers when negotiating on their behalf.

*5.0. Grower Group Responsibilities*

- b. Control and communication mechanisms exist and are used by member growers to ensure the group is complying with AJP standards regarding farmer responsibilities to buyers.
- c. Member growers are aware of their responsibility for ensuring that grower group personnel and leadership are upholding AJP standards related to fair negotiations and contracting with member farmers (section 1.0). Control and communication mechanisms exist and are used by member growers to ensure grower group is complying with AJP standards regarding buyer responsibilities to farmers.
- d. Grower Group will have written roles for members, personnel, and leadership that clearly outline responsibility regarding management of the group and compliance with AJP standards, including group organized trainings or educational materials to improve member growers' understanding of AJP responsibilities.

**5.4. Separation of Farm Products**

- a. Grower group must have a mechanism for ensuring separation of AJP products from non-certified products through a traceability system.
- b. This separation must be able to be implemented quickly in the event that a serious non-compliance is detected with a member grower that would require separation of the farm's product.

**5.5. Integrity of Grower Group Products**

- a. Grower group shall maintain a tracking system that ensures individual farm products can be identified, traced, and separated.
- b. Grower group must have a system in place to ensure that external products or ingredients are not introduced into group products at the individual farm level.
- c. Grower Group will only purchase a minimal percentage of ingredients from outside the member growers for use in processed grower group products.
- d. Grower group will not purchase products from outside the group that group members can and prefer to supply to the group unless:
  - i. There is a shortage of farm product for selling agreements that no member farm can fill and
  - ii. The group purchases from independently certified AJP farms or grower groups.
- e. Grower group members will not sell their farm products independently as certified products unless they have independent AJP certification.

**5.6. Community Relations**

- a. *AJP certified grower groups are encouraged to cooperate with neighbors and to invest in their community for more sustainable and just community relations. Suggestions include (but are not limited to):*
  - i. Developing a policy of hiring and training local people*
  - ii. Purchasing from local and regional suppliers of products and services*
  - iii. Providing resources to promote fair labor practices and living wages throughout the community.*
  - iv. Supporting local schools, health and social services, cultural events and language classes and translation services.*

## **Appendix I: List of Prohibited Materials<sup>iv</sup>**

Note: **Updated July 2010.** This list is updated regularly. Contact AJP ([www.agriculturaljusticeproject](http://www.agriculturaljusticeproject)) for most up-to-date list.

1,2,4-triazole	Bendiocarb	Chlorsulfuron
1,3-dichloropropene	Benfluralin	Chlorthal-dimethyl
2,4,5-T	Benomyl	Chlozolate
2,4,5-T, butyric acid	Bentazone	Cholecalciferol
2,4,5-trichlorophenol	Benthiavalicarb-isopropyl	Cinidon-ethyl
2,4,6-trichlorophenol	Beta-cyfluthrin	Clodinafop-propargyl
2,4-D	Bifenthrin	Clofencet
2,4-DB	Binapacryl	Clofentezine
2,4-dichlorophenol	Bis(chloroethyl) ether	Clothianidin
2,4-DP, isooctyl ester	Boscalid	Coconut diethanolamide
2,6-Dichlorbenzamid	Brodifacoum	Coumaphos
2-Mercaptobenzothiazole	Bromacil	Coumatetralyl
3-CPA	Bromadiolone	Creosote
Abamectin	Bromethalin	Cumyluron
Acephate	Bromoxynil	Cyanamide
Acetochlor	Bromuconazole	Cyanazine
Acifluorfen, sodium salt	Buprofezin	Cyfluthrin
Acrinathrin	Butachlor	Cyhexatin
Acrolein	Butocarboxim	Cypermethrin
Alachlor	Butoxycarboxim	Cypermethrin, alpha
Aldicarb	Cacodylic acid	Cyproconazole
Aldrin	Cadusafos	Cyromazine
Allethrin	Captafol	Daminozide
alpha-BHC	Captan	DDT
Alpha-chlorohydrin	Carbaryl	Deltamethrin
Aluminum phosphide	Carbendazim	Demeton-S-methyl
Aminopyralid	Carbofuran	Diazinon
Amitraz	Chinomethionat	Dichlobenil
Amitrole	Chlordane	Dichloro acetic acid
Aniline	Chlordimeform	Dichlorophene
anthracene oil	hydrochloride	Dichlorprop-P
Arsenic acid	Chlorethoxyphos	Dichlorvos
Arsenic pentoxide	Chlorfenapyr	Diclofop-methyl
Asulam	Chlorfenvinphos	Dicofol
Atrazine	Chlormephos	Dicrotophos
Azafenidin	Chlorobenzilate	Dieldrin
Azamethiphos	Chloroform	Difenacoum
Azinphos-ethyl	Chlorophacinone	Difenoconazole
Azinphos-methyl	Chloropicrin	Difethialone
Azobenzene	Chlorothalonil	Dimethenamid
Azocyclotin	Chlorotoluron	Dimethipin
Azoxystrobin	Chlorpyrifos	Dimethoate
Bacillus subtilis GBO3	Chlorpyrifos-methyl	Dimethoxane

Dinocap	Fluazifop-butyl	Isoxathion
Dinoseb	Fluazinam	Ketoconazole
Dinoterb	Flucythrinate	Kresoxim-methyl
Diphacinone	Fludioxonil	Lactofen
Diquat dibromide	Flumioxazin	Lambda-cyhalothrin
Disulfoton	Fluometuron	Lindane
Diuron	Fluopicolide	Linuron
DNOC	Fluoroacetamide	Lufenuron
DNOC ammonium salt	Flusilazole	Malathion
DNOC potassium salt	Fluthiacet-methyl	Mancozeb
DNOC, sodium salt	Flutolanil	Maneb
Doxorubicin	Folpet	MCPA
Edifenphos	Forchlorfenuron	MCPB
Endosulfan	Formaldehyde	MCPP
Endrin	Formetanate	Mecarbam
Epichlorohydrin	Fosthiazate	Mecoprop-P
EPN	Furathiocarb	Mepanipyrim
Epoxiconazole	Furfural	Mepronil
Esbiothrin	Furilazole	Mercuric chloride
Esfenvalerate	Glyphosate trimesium	Mercuric oxide
Ethalfluralin	Haloxypop-methyl (unstated stereochemistry)	Mercury
Ethiofencarb	Heptachlor	Merpafol cis isomer
Ethiozin	Heptachlor epoxide	Meta-cresol
Ethofumesate	Heptenophos	Metaldehyde
Ethoprophos	Hexachlorobenzene	Metam sodium, dihydrate
Ethylene dibromide	Hexachloroethane	Metam-sodium
Ethylene dichloride	Hexaconazole	Metconazole
Ethylene oxide	Hexaflumuron	Methabenzthiazuron
Ethylene thiourea	Hexachlorocyclohexane	Methamidophos
Etofenprox	Hexythiazox	Methidathion
Famphur	Hydramethylnon	Methiocarb
Fenamiphos	Hydrazine	Methomyl
Fenarimol	Imazalil	Methoxychlor
Fenzaquin	Imazaquin	Methyl bromide
Fenbuconazole	Imazethapyr	Methyl isothiocyanate
Fenbutatin-oxide	Imidacloprid	Methylene chloride
Fenitrothion	Indoxacarb	Metiram
Fenoxycarb	Iodomethane	Metolachlor
Fenpropathrin	Ioxynil	Metrafenone
Fenthion	Iprodione	Metribuzin
Fentin acetate	Iprovalicarb	Metronidazole
Fentin hydroxide	Isophorone	Mevinphos
Fenvalerate	Isoproturon	Mevinphos (stereochemistry unspecified)
Fipronil	Isoxaben	MGK 326
Flocoumafen	Isoxaflutole	
Fonicamid		

Mirex	Profoxydim	Tembotrione
Molinate	Prometryn	Tepraloxym
MON 4660	Propachlor	Terbufos
Monocrotophos	Propanil	Terbutryn
MSMA	Propargite	Terrazole
Myclobutanil	Propazine	Tetrachlorvinphos
Nicotine	Propetamphos	Tetraconazole
Nitrapyrin	Propiconazole	Tetramethrin
Nonylphenol	Propoxur	Thiabendazole
Norflurazon	Propylene oxide	Thiacloprid
Omethoate	Propyzamide	Thiamethoxam
Orthosulfamuron	Prosulfocarb	Thiazopyr
Oryzalin	Pymetrozine	Thiodicarb
Oxadiazon	Pyraflufen-ethyl	Thiofanox
Oxadixyl	Pyrasulfotole	Thiometon
Oxamyl	Pyrazoxon	Thiophanate-methyl
Oxydemeton-methyl	Pyrethrin I	Thiourea
Oxyfluorfen	Pyrimethanil	Thiram
Paclobutrazol	Pyriothiobac-sodium	Tolylfluanid
Para-dichlorobenzene	Quinalphos	Topramezone
Paraquat dichloride	Quinoclamine	Toxaphene
Parathion	Quinoxifen	Tralkoxydim
Parathion-methyl	Quintozene	Triadimefon
P-chloroaniline	Quizalofop-p-tefuryl	Triadimenol
PCP	Resmethrin	Tri-allate
Pendimethalin	S,S,S-tributyl	Triasulfuron
Penoxsulam	phosphorotrithioate	Triazophos
Permethrin	S-Bioallethrin	Tribenuron methyl
Phenothrin	Silthiofam	Trichlorfon
Phenthoate	Simazine	Trichlorophenol
Phorate	S-Metolachlor	Triclosan
Phosmet	Sodium arsenate	Tricyclazole
Phosphamidon	Sodium dimethyl dithio	Tridemorph
Phosphine	carbamate	Trifluralin
Picloram	Sodium fluoroacetate	Triflusulfuron-methyl
Picloram, diethanolamine	(1080)	Triforine
salt	Spinosad	Triticonazole
Piperonyl butoxid	Spirodiclofen	Uniconazole
Pirimicarb	Strychnine	Vamidothion
Potasan	Sulfosulfuron	Vinclozolin
Metam-potassium	Sulfotep	Warfarin
Polyhexamethylene	TCMTB	zeta-Cypermethrin
biguanidine	Tebuconazole	Zineb
Prochloraz	Tebufenpyrad	Ziram
Procymidone	Tebupirimifos	Z-Phosphamidon
Prodiamine	Tefluthrin	

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<sup>i</sup> For example, labor contractor may not have control over work teams' exposure to toxic materials on other conventional client farms not applying for AJP certification; however the contractor would be required to ensure that all work teams on all client farms use safety equipment and follow appropriate safety procedures and have appropriate safety training.

<sup>ii</sup> This may change pending immigration legislation through the published AJP emergency standards change process outlined in the AJP Policy Manual.

<sup>iii</sup> This may change pending immigration legislation through the published AJP emergency standards change process outlined in the AJP Policy Manual.

<sup>iv</sup> List from Pesticide Action Network: International list of Highly Hazardous Pesticides - PAN Germany for PAN International - 1/2009.